

# Soil Moisture Active Passive (SMAP) Mission

## Level 3 Passive Soil Moisture Product Specification Document

**Beta Release**

**Steven Chan  
R. Scott Dunbar**

**August 30, 2015**

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National Aeronautics and Space Administration



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# Soil Moisture Active Passive (SMAP) Level 3 Passive Soil Moisture Product Specification Document

**Beta Release**

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### DOCUMENT CHANGE LOG

REVISION	DATE	SECTIONS CHANGED	REASON FOR CHANGE
-	Jun 12, 2014		Initial Release
-	Aug 31, 2015		Beta Release

### TBD, TBR, TBS LOG

<b>Section/Page</b>	<b>Description</b>	<b>Due Date</b>
4.3	More accurate estimate of data volume to be determined from simulations	Mar 2013
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# 1 INTRODUCTION

## 1.1 Identification

This is the Product Specification Document (PSD) for the Level 3 Passive Soil Moisture Product for the Science Data System (SDS) of the Soil Moisture Active Passive (SMAP) project. The product provides gridded data of SMAP radiometer-only soil moisture retrieval, ancillary data, and quality-assessment flags on a 36-km Earth-fixed grid. Only cells that are covered by the actual swath are written into the product.

## 1.2 Scope

This document describes the file format and data contents of the Level 3 Passive Soil Moisture Product (hereafter referred to as 'L2\_SM\_P' for brevity) for external software interfaces. The SMAP Science Data Management and Archive Plan Document provides a more comprehensive explanation of this product within the context of the SMAP instrument, algorithms, and software.

## 1.3 The SMAP Mission

The SMAP mission is a unique mission that combines passive (radiometer) and active (radar) observations to provide global mapping of soil moisture and freeze/thaw state with unprecedented accuracy, resolution, and coverage. The resulting space-based hydrosphere state measurements will improve:

- Understanding of the processes that link the terrestrial water, energy and carbon cycles
- Estimate of global water and energy fluxes at the land surface
- Measurement of net carbon flux in boreal landscapes
- Weather and climate forecast skill
- Flood prediction and drought monitoring capabilities

Table 1 is a summary of the SMAP instrument functional requirements derived from its science measurement needs. The goal is to combine the various positive attributes of the radar and radiometer observations, including spatial resolution, sensitivity to soil moisture, surface roughness, and vegetation, to estimate soil moisture at a resolution of 10 km and freeze-thaw state at a resolution of 1-3 km.

**Table 1: SMAP Mission Requirements**

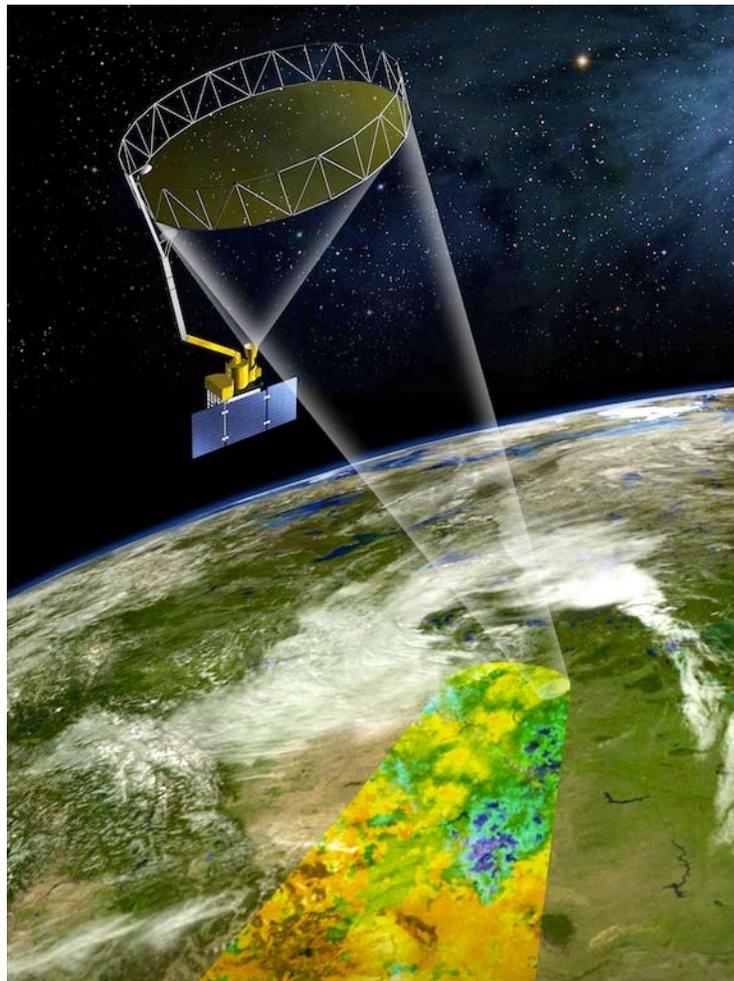
Scientific Measurement Requirements	Instrument Functional Requirements
<p><b>Soil Moisture:</b>  <math>\sim \pm 0.04 \text{ cm}^3/\text{cm}^3</math> volumetric accuracy (1-sigma) in the top 5 cm for vegetation water content <math>\leq 5 \text{ kg}/\text{m}^2</math>                      Hydrometeorology at <math>\sim 10 \text{ km}</math> resolution                      Hydroclimatology at <math>\sim 40 \text{ km}</math> resolution</p>	<p><b>L-Band Radiometer (1.41 GHz):</b>                      Polarization: <math>T_H, T_V, T_3,</math> and <math>T_4</math>                      Resolution: 40 km                      Radiometric Uncertainty*: 1.3 K  <b>L-Band Radar (1.26 and 1.29 GHz):</b>                      Polarization: VV, HH, HV (or VH)                      Resolution: 10 km                      Relative accuracy*: 0.5 dB (VV and HH)                      Constant incidence angle** between <math>35^\circ</math> and <math>50^\circ</math></p>
<p><b>Freeze/Thaw State:</b>                      Capture freeze/thaw state transitions in integrated vegetation-soil continuum with two-day precision at the spatial scale of landscape variability (<math>\sim 3 \text{ km}</math>)</p>	<p><b>L-Band Radar (1.26 GHz &amp; 1.29 GHz):</b>                      Polarization: HH                      Resolution: 3 km                      Relative accuracy*: 0.7 dB (1 dB per channel if 2 channels are used)                      Constant incidence angle** between <math>35^\circ</math> and <math>50^\circ</math></p>
<p>Sample diurnal cycle at consistent time of day (6 am/6 pm Equator crossing);                      Global, <math>\sim 3 \text{ day}</math> (or better) revisit;                      Boreal, <math>\sim 2 \text{ day}</math> (or better) revisit</p>	<p>Swath Width: <math>\sim 1000 \text{ km}</math>                      Minimize Faraday rotation (degradation factor at L-band)</p>
<p>Observation over minimum of three annual cycles</p>	<p>Baseline three-year mission life</p>
<p>* Includes precision and calibration stability                      ** Defined without regard to local topographic variation</p>	

The SMAP instrument incorporates an L-band radar and an L-band radiometer that share a single feedhorn and parabolic mesh reflector. As shown in Figure 1, the reflector is offset from nadir and rotates about the nadir axis at 14.6 rpm (nominal), providing a conically scanning antenna beam with a surface incidence angle of approximately  $40^\circ$ . The provision of constant incidence angle across the swath simplifies data processing and enables accurate repeat-pass estimates of soil moisture and freeze/thaw change. The reflector has a diameter of 6 m, providing a radiometer 3 dB antenna footprint of 40 km (root-ellipsoidal-area). The real-aperture radar footprint is 30 km, defined by the two-way antenna beamwidth. The real-aperture radar and radiometer data will be collected globally during both ascending and descending passes.

To obtain the desired high spatial resolution, the radar employs range and Doppler discrimination. The radar data can be processed to yield resolution enhancement to 1-3 km spatial resolution over the outer 70% of the 1000-km swath. Data volume constraints prohibit

the downlinking of the entire radar data acquisition. Radar measurements that enable high-resolution processing will be collected during the morning overpass over all land regions as well as over surrounding coastal oceans. During the evening overpass, data north of 45° N will be collected and processed to support robust detection of landscape freeze/thaw transitions. The SMAP baseline orbit parameters are:

- Orbit altitude: 685 km (2-3 day average revisit globally and 8-day exact repeat)
- Inclination: 98 degrees, sun-synchronous
- Local time of ascending node: 6 pm (6 am descending local overpass time)



**Figure 1:** The SMAP mission concept consists of an L-band radar and radiometer sharing a single spinning 6-m mesh antenna in a sun-synchronous dawn / dusk orbit.

The SMAP radiometer measures the four Stokes parameters,  $T_H$ ,  $T_V$ ,  $T_3$ , and  $T_4$  at 1.41 GHz. The  $T_H$  and  $T_V$  channels are the pure horizontally and vertically polarized brightness temperatures. The cross-polarized  $T_3$ -channel measurement can be used to correct for possible

Faraday rotation caused by the ionosphere. Mission planners expect that the selection of the 6 am sun-synchronous SMAP orbit should minimize the effect of Faraday rotation.

Anthropogenic Radio Frequency Interference (RFI), principally from ground-based surveillance radars, can contaminate both radar and radiometer measurements at L-band. Early measurements and results from ESA’s Soil Moisture and Ocean Salinity (SMOS) mission indicate that in some regions RFI is present and detectable. The SMAP radar and radiometer electronics and algorithms include design features to mitigate the effects of RFI. The SMAP radar utilizes selective filters and an adjustable carrier frequency to tune to predetermined RFI-free portions of the spectrum while on orbit. The SMAP radiometer will implement a combination of time and frequency diversity, kurtosis detection, and use of  $T_4$  thresholds to detect and where possible mitigate RFI.

## 1.4 Data Products

The SMAP mission will generate 15 different data products. The products represent four levels of data processing. Level 1 products contain instrument related data. Level 1 products appear in granules that are based on half orbits of the SMAP satellite. The Northernmost and Southernmost orbit locations demarcate half orbit boundaries. Level 2 products contain output from geophysical retrievals that are based on instrument data. Level 2 products also appear in half orbit granules. Level 3 products contain global output of the Level 2 geophysical retrievals for an entire day. Level 4 products contain output from geophysical models that employ SMAP data.

Table 2 lists the standard SMAP data products. The table specifies two sets of short names. The SMAP Mission product short names were adopted by the SMAP mission to identify products. Users will find those short names in SMAP mission documentation, SMAP product file names and in the product metadata. The Data Centers will use ECS short names to categorize data products in their local databases. ECS short names will also appear in SMAP product metadata.

**Table 2:** Standard SMAP data products

SMAP Product Short Name	ECS Short Name	Description	Granularity
L1A_Radar	SPL1AA	Parsed radar instrument telemetry	—
L1A_Radiometer	SPL1AP	Parsed radiometer instrument telemetry	—
L1B_S0_LoRes	SPL1BS0	Low resolution radar $\sigma_0$ in time order	Half orbit
L1C_S0_HiRes	SPL1CS0	High resolution radar $\sigma_0$ on swath grid	Half orbit
L1B_TB	SPL1BTB	Radiometer $T_B$ in time order	Half orbit
L1C_TB	SPL1CTB	Radiometer $T_B$ on Earth-fixed grids	Half orbit
L2_SM_A	SPL2SMA	Radar soil moisture	Half orbit
L2_SM_P	SPL2SMP	Radiometer soil moisture	Half orbit
L2_SM_AP	SPL2SMAP	Radar-radiometer soil moisture	Half orbit
L3_FT_A	SPL3FTA	Daily global composite freeze/thaw state	North of 45°N

L3_SM_A	SPL3SMA	Daily global composite radar soil moisture	Global
L3_SM_P	SPL3SMP	Daily global composite radiometer soil moisture	Global
L3_SM_AP	SPL3SMAP	Daily global composite radar-radiometer soil moisture	Global
L4_SM	SPL4TSM	Surface and root-zone soil moisture	Global
L4_C	SPL4C	Carbon net ecosystem exchange	North of 45°N

## 1.5 L3\_SM\_P Overview

The SMAP L3\_SM\_P product is a daily global composite of the SMAP L2\_SM\_P product, which represents gridded data of SMAP passive soil moisture retrieval, ancillary data, and quality-assessment flags on the 36-km global cylindrical Equal-Area Scalable Earth Grid 2.0 (a.k.a. EASE-Grid 2.0) designed by NSIDC for SMAP. To generate the standard L3\_SM\_P product the processing software ingests one day's worth of L2\_SM\_P granules and create global composites as two-dimensional arrays for each output parameter in the L2\_SM\_P product. Wherever data overlap occurs (typically at high latitudes), data point whose acquisition time is closest to the 6:00 am / 6:00 pm local solar time is chosen.

## 2 DATA PRODUCT ORGANIZATION

### 2.1 File Format

All SMAP standard products are in the Hierarchical Data Format version 5 (HDF5). The HDF5 is a general-purpose file format and programming library for storing scientific data. The National Center for Supercomputing Applications (NCSA) at the University of Illinois developed HDF to help scientists share data more easily. Use of the HDF library enables users to read HDF files regardless of the underlying computing environments. HDF files are equally accessible in Fortran, C/C++, and other high-level computation packages such as IDL or MATLAB.

The HDF Group, a spin-off organization of the NCSA, is responsible for development and maintenance of HDF. Users should reference The HDF Group website at <http://www.hdfgroup.org> to download HDF software and documentation.

### 2.2 HDF5 Notation

HDF5 represents a significant departure from the conventions of previous versions of HDF. The changes that appear in HDF5 provide flexibility to overcome many of the limitations of previous releases. The basic building blocks have been largely redefined, and are more powerful but less numerous. The key concepts of the HDF5 Abstract Data Model are Files, Groups, Datasets, Datatypes, Attributes and Property Lists. The following sections provide a brief description of each of these key HDF5 concepts.

#### 2.2.1 HDF5 File

A File is the abstract representation of a physical data file. Files are containers for HDF5 Objects. These Objects include Groups, Datasets, and Datatypes.

#### 2.2.2 HDF5 Group

Groups provide a means to organize the HDF5 Objects in HDF5 Files. Groups are containers for other Objects, including Datasets, named Datatypes and other Groups. In that sense, groups are analogous to directories that are used to categorize and classify files in standard operating systems.

The notation for files is identical to the notation used for Unix directories. The root Group is “/”. A Group contained in root might be called “/myGroup.” Like Unix directories, Objects appear in Groups through “links”. Thus, the same Object can simultaneously be in multiple Groups.

### 2.2.3 HDF5 Dataset

The Dataset is the HDF5 component that stores user data. Each Dataset associates with a Dataspace that describes the data dimensions, as well as a Datatype that describes the basic unit of storage element. A Dataset can also have Attributes.

### 2.2.4 HDF5 Datatype

A Datatype describes a unit of data storage for Datasets and Attributes. Datatypes are subdivided into Atomic and Composite Types.

Atomic Datatypes are analogous to simple basic types in most programming languages. HDF5 Atomic Datatypes include Time, Bitfield, String, Reference, Opaque, Integer, and Float. Each atomic type has a specific set of properties. Examples of the properties associated with Atomic Datatypes are:

- Integers are assigned size, precision, offset, pad byte order, and are designated as signed or unsigned.
- Strings can be fixed or variable length, and may or may not be null-terminated.
- References are constructs within HDF5 Files that point to other HDF5 Objects in the same file.

HDF5 provides a large set of predefined Atomic Datatypes. Table 3 lists the Atomic Datatypes that are used in SMAP data products.

**Table 3:** HDF5 Atomic Datatypes

<b>HDF5 Atomic Datatypes</b>	<b>Description</b>
H5T STD U8LE	unsigned, 8-bit, little-endian integer
H5T STD U16LE	unsigned, 16-bit, little-endian integer
H5T STD U32LE	unsigned, 32-bit, little-endian integer
H5T STD U64LE	unsigned, 64-bit, little-endian integer
H5T STD I8LE	signed, 8-bit, little-endian integer
H5T STD I16LE	signed, 16-bit, little-endian integer
H5T STD I32LE	signed, 32-bit, little-endian integer
H5T STD I64LE	Signed, 64-bit, little-endian integer
H5T IEEE F32LE	32-bit, little-endian, IEEE floating point
H5T IEEE F64LE	64-bit, little-endian, IEEE floating point
H5T C S1	character string made up of one or more bytes

Composite Datatypes incorporate sets of Atomic datatypes. Composite Datatypes include Array, Enumeration, Variable Length and Compound.

- The Array Datatype defines a multi-dimensional array that can be accessed atomically.
- Variable Length presents a 1-D array element of variable length. Variable Length Datatypes are useful as building blocks of ragged arrays.

- Compound Datatypes are composed of named fields, each of which may be dissimilar Datatypes. Compound Datatypes are conceptually equivalent to structures in the C programming language.

Named Datatypes are explicitly stored as Objects within an HDF5 File. Named Datatypes provide a means to share Datatypes among Objects. Datatypes that are not explicitly stored as Named Datatypes are stored implicitly. They are stored separately for each Dataset or Attribute they describe. None of the SMAP data products employ Enumeration or Compound data types.

### 2.2.5 HDF5 Dataspace

A Dataspace describes the rank and dimension of a Dataset or Attribute. For example, a “Scalar” Dataspace has a rank of 1 and a dimension of 1. Thus, all subsequent references to “Scalar” Dataspace in this document imply a single dimensional array with a single element.

Dataspaces provide considerable flexibility to HDF5 products. They incorporate the means to subset associated Datasets along any or all of their dimensions. When associated with specific properties, Dataspaces also provide the means for Datasets to expand as the application requires.

### 2.2.6 HDF5 Attribute

An Attribute is a small aggregate of data that describes Groups or Datasets. Like Datasets, Attributes are also associated with a particular Dataspace and Datatype. Attributes cannot be subsetted or extended. Attributes themselves cannot have Attributes.

## 2.3 SMAP File Organization

### 2.3.1 Structure

SMAP data products follow a common convention for all HDF5 Files. Use of this convention provides uniformity of data access and interpretation.

The SMAP Project uses HDF5 Groups to provide an additional level of data organization. All metadata that pertain to the complete data granule are members of the “/Metadata” Group. All other data are organized within Groups that are designed specifically to handle the structure and content of each particular data product.

### 2.3.2 Data

All data in HDF5 files are stored in individual Datasets. All of the Datasets in an SMAP product are assigned to an HDF5 Group. A standard field name is associated with each Dataset. The field name is a unique string identifier. The field name corresponds to the name of the data element the Dataset stores. This document lists these names with the description of each data element that they identify.

Each Dataset is associated with an HDF5 Dataspace and an HDF5 Datatype. They provide a minimally sufficient set of parameters for reading the data using standard HDF5 tools.

### 2.3.3 Element Types

SMAP HDF5 employs the Data Attribute “Type” to classify every data field as a specific data type. The “Type” is an embellishment upon the standard HDF5 Datatypes that is designed specifically to configure SMAP data products.

Table 4 lists all of the “Type” strings that appear in the SMAP data products. The table maps each SMAP “Type” to a specific HDF5 Datatype in both the HDF5 file and in the data buffer. The table also specifies the common conceptual data type that corresponds to the “Type” in SMAP executable code.

**Table 4:** Element Type Definitions

Type	HDF5 Datatype (File)	HDF5 Datatype (Buffer)	Conceptual Type
Unsigned8	H5T_STD_U8LE	H5T_NATIVE_UCHAR	unsigned integer
Unsigned16	H5T_STD_U16LE	H5T_NATIVE_USHORT	unsigned integer
Unsigned24	H5T_STD_U16LE, with precision set to 24 bits, and size set to 3 bytes.	H5T_NATIVE_INT	unsigned integer
Unsigned32	H5T_STD_U32LE	H5T_NATIVE_UINT	unsigned integer
Unsigned64	H5T_STD_U64LE	H5T_NATIVE_ULLONG	unsigned integer
Signed8	H5T_STD_I8LE	H5T_NATIVE_SCHAR	signed integer
Signed16	H5T_STD_I16LE	H5T_NATIVE_SHORT	signed integer
Signed32	H5T_STD_I32LE	H5T_NATIVE_INT	signed integer
Signed64	H5T_STD_I64LE	H5T_NATIVE_LLONG	signed integer
Float32	H5T_IEEE_F32LE	H5T_NATIVE_FLOAT	floating point
Float64	H5T_IEEE_F64LE	H5T_NATIVE_DOUBLE	floating point
FixLenStr	H5T_C_S1	H5T_NATIVE_CHAR	character string
VarLenStr	H5T_C_S1, where the length is set to H5T_VARIABLE	H5T_NATIVE_CHAR	character string

SMAP HDF5 files employ two different types of string representation. “VarLenStr” are strings of variable length. “VarLenStr” provides greater flexibility to represent character strings. In an effort to make SMAP HDF5 more friendly to users who wish to use netCDF software, SMAP products restrict the use of “VarLenStr”. “FixLenStr” are strings with a prescribed fixed-length. “FixLenStr” are useful for fixed length strings that are stored in large multi-dimension array. UTC time stamps are an excellent example of the type of data that store well in a “FixLenStr”.

### 2.3.4 File Level Metadata

All metadata that describe the full content of each granule of the SMAP data product are stored within the explicitly named “/Metadata” Group. SMAP metadata are handled using exactly the same procedures as those that are used to handle SMAP data. The contents of each Attribute that stores metadata conform to one of the SMAP Types. Like data, each metadata element is also assigned a shape. Most metadata elements are stored as scalars. A few metadata elements are stored as arrays.

SMAP data products represent file level metadata in two forms. One form appears in one or more Attributes within the Metadata Group. Combined, those Attributes contain a complete representation of the product metadata. The content conforms to the ISO 19115-2 models in ISO 19139 compliant XML.

The second form of the metadata appears in a set of HDF5 Groups under the “/Metadata” Group. Each of these HDF5 Groups represents one of the major classes in the ISO 19115-2 model. These HDF5 Groups contain a set of HDF5 Attributes. Each HDF5 Attributes represents a specific ISO attribute of the associated ISO class. Although this representation inherits design from the ISO model, it does not completely conform to the model. In many cases, the names of the HDF5 Attributes match those used in the ISO model. In some situations, names were changed to provide greater clarity to SMAP users who are not familiar with the ISO model. Furthermore, to ease metadata searches, the structure of Groups within Groups was limited to four levels.

### 2.3.5 Local Metadata

SMAP standards incorporate additional metadata that describe each HDF5 Dataset within the HDF5 file. Each of these metadata elements appear in an HDF5 Attribute that is directly associated with the HDF5 Dataset. Wherever possible, these HDF5 Attributes employ names that conform to the Climate and Forecast (CF) conventions. Table 5 lists the CF names for the HDF5 Attributes that SMAP products typically employ.

**Table 5:** SMAP Specific Local Attributes

CF Compliant Attribute Name	Description	Required?
units	Units of measure.	Yes
valid_max	The largest valid value for any element in the Dataset. The data type in valid_max matches the type of the associated Dataset. Thus, if the associated Dataset stores float32 values, the corresponding valid_max will also be float32.	No
valid_min	The smallest valid value for any element in the Dataset. The data type in valid_min matches the type of the associated Dataset. Thus, if the associated Dataset stores float32 values, the	No

CF Compliant Attribute Name	Description	Required?
	corresponding valid <code>_min</code> will also be float32.	
<code>_FillValue</code>	Specification of the value that will appear in the Dataset when an element is missing or undefined. The data type of <code>_FillValue</code> matches the type of the associated Dataset. Thus, if the associated Dataset stores float32 values, the corresponding <code>_FillValue</code> will also be float32.	Yes for all numeric data types
<code>long_name</code>	A descriptive name that clearly describes the content of the associated Dataset.	Yes
<code>coordinates</code>	Identifies auxiliary coordinate variables in the data product.	No
<code>flag_values</code>	Provides a list of flag values that appear in bit flag variables. Should be used in conjunction with local HDF5 attribute <code>flag_meanings</code> . Only appears with bit flag variables.	No
<code>flag_masks</code>	Provides a list of bit fields that express Boolean or enumerated flags. Only appears with bit flag variables or enumerated data types.	No
<code>flag_meanings</code>	Provides descriptive words or phrases for each potential bit flag value. Should be used in conjunction with local HDF5 attribute <code>flag_values</code> .	No

## 2.4 Data Definition Standards

Section 4.6 of this document specifies the characteristics and definitions of every data element stored in this SMAP data product. Table 6 defines each of the specific characteristics that are listed in that section of this document. Some of these characteristics correspond with the SMAP HDF5 Attributes that are associated with each Dataset. Data element characteristics that correspond to SMAP HDF5 Attributes bear the same name. The remaining characteristics are descriptive data that help users better understand the data product content.

In some situations, a standard characteristic may not apply to a data element. In those cases, the field contains the character string 'n/a'. Hexadecimal representation sometimes indicates data content more clearly. Numbers represented in hexadecimal begin with the character string '0x'.

**Table 6:** Data Element Characteristic Definitions

Characteristic	Definition
Type	The data representation of the element within the storage medium. The storage class specification must conform to a valid SMAP type. The first column in table 3 lists all of the valid values that correspond to this

Characteristic	Definition
	characteristic.
Shape	The name of the shape data element that specifies the rank and dimension of a particular data set.
Valid_max	The expected minimum value for a data element. In most instances, data element values never fall below this limit. However, some data elements, particularly when they do not reflect normal geophysical conditions, may contain values that fall below this limit.
Valid_min	The expected maximum value for a data element. In most instances, data element values never exceed this limit. However, some data elements, particularly when they do not reflect normal geophysical conditions, may contain values that exceed this limit.
Valid Values	Some data elements may store a restricted set of values. In those instances, this listing specifies the values that the data element may store.
Nominal Value	Some data elements have an expected value. In those instances, this listing provides that expected value. Nominal values are particularly common among a subset of the metadata elements.
String Length	This characteristic specifies the length of the data string that represents a single instance of the data element. This characteristic appears exclusively for data elements of FixLenStr type.
Units	Units of measure. Typical values include “deg”, “deg C”, “Kelvins”, “m/s”, “m”, “m**2”, “s” and “counts”.

### 2.4.1 Array Representation

This document employs array notation to demonstrate and clarify the correspondence among data elements in different product data elements. The array notation adopted in this document is similar to the standards of the Fortran programming language. Indices are one based. Thus, the first index in each dimension is one. This convention is unlike C or C++, where the initial index in each dimension is zero. In multidimensional arrays, the leftmost subscript index changes most rapidly. Thus, in this document, array elements ARRAY(15,1,5) and ARRAY(16,1,5) are stored contiguously.

HDF5 is designed to read data seamlessly regardless of the computer language used to write an application. Thus, elements that are contiguous using the dimension notation in this document will appear in contiguous locations in arrays for reading applications in any language with an HDF5 interface.

This document differentiates among array indices based on relative contiguity of storage of elements referenced with consecutive numbers in that index position. A faster or fastest moving index implies that the elements with consecutive numbers in that index position are stored in relative proximity in memory. A slower or slowest moving index implies that the elements referenced with consecutive indices are stored more remotely in memory. For instance, given array element ARRAY(15,1,5) in Fortran, the first index is the fastest moving index and the third

index is the slowest moving index. On the other hand, given array element array[4][0][14] in C, the first index is the slowest moving index and the third index is the fastest moving index.

## 2.5 Fill/Gap Values

SMAP data products employ fill and gap values to indicate when no valid data appear in a particular data element. Fill values ensure that data elements retain the correct shape. Gap values locate portions of a data stream that do not appear in the output data file.

Fill values appear in the SMAP L3\_SM\_P Product when the L3\_SM\_P SPS can process some, but not all, of the input data for a particular swath grid cell. Fill data may appear in the product in any of the following circumstances:

- One of Science Production Software (SPS) executables that generate the SMAP L3\_SM\_P Product is unable to calculate a particular science or engineering data value. The algorithm encounters an error. The error disables generation of valid output. The SPS reports a fill value instead.
- Some of the required science or engineering algorithmic input are missing. Data over the region that contributes to particular grid cell may appear in only some of the input data streams. Since data are valuable, the L3\_SM\_P Product records any outcome that can be calculated with the available input. Missing data appear as fill values.
- Non-essential information is missing from the input data stream. The lack of non-essential information does not impair the algorithm from generating needed output. The missing data appear as fill values.
- Fill values appear in the input L2\_SM\_P product.

SMAP data products employ a specific set of data values to connote that an element is fill. The selected values that represent fill are dependent on the data type. Table 7 lists the values that represent fill in SMAP products based on data type:

**Table 7:** Fill Values in SMAP Data Products

Type	Value	Pattern
Float32, Float64	-999999	Large, negative number
Signed8, NormSigned8	-127	Type minimum + 1
Signed16, NormSigned16	-32767	Type minimum + 1
Signed24	-8388607	Type minimum + 1
Signed32	-2147483647	Type minimum + 1
Signed64	-9223372036854775807	Type minimum + 1
Unsigned8	254	Type maximum - 1
Unsigned16	65534	Type maximum - 1
Unsigned24	16777214	Type maximum - 1
Unsigned32	4294967294	Type maximum - 1
Unsigned64	18446744073709551614	Type maximum - 1
FixedLenString, VarLenString	NA	Not available

No valid value in the L3\_SM\_P product is equal to the values that represent fill. If any exceptions should exist in the future, the L3\_SM\_P content will provide a means for users to discern between elements that contain fill and elements that contain genuine data values. This document will also contain a description of the method used to ascertain which elements are fill and which elements are genuine.

The L3\_SM\_P product records gaps when entire frames within the time span of a particular data granule do not appear. Gaps can occur under one of two conditions:

- One or more complete frames of data are missing from all data streams.
- The subset of input data that is available for a particular frame is not sufficient to process any frame output.

The L2\_SM\_P Product records gaps in the product level metadata. The following conditions will indicate that no gaps appear in the data product:

- Only one instance of the attributes *Extent/rangeBeginningDateTime* and *Extent/rangeEndingDateTime* will appear in the product metadata.
- The character string stored in metadata element *Extent/rangeBeginningDateTime* will match the character string stored in metadata element *OrbitMeasuredLocation/halfOrbitStartDateTime*.
- The character string stored in metadata element *Extent/rangeEndingDateTime* will match the character string stored in metadata element *OrbitMeasuredLocation/halfOrbitStopDateTime*.

One of two conditions will indicate that gaps appear in the data product:

- The time period covered between *Extent/rangeBeginningDateTime* and *Extent/rangeEndingDateTime* does not cover the entire half orbit as specified in *OrbitMeasuredLocation/halfOrbitStartDateTime* and *OrbitMeasuredLocation/halfOrbitStopDateTime*.
- More than one pair of *Extent/rangeBeginningDateTime* and *Extent/rangeEndingDateTime* appears in the data product. Time periods within the time span of the half orbit that do not fall within the sets of *Extent/rangeBeginningDateTime* and *Extent/rangeEndingDateTime* constitute data gaps.

## 2.6 Flexible Data Design

HDF5 format gives the SMAP Level Products a high degree of flexibility. This flexibility in turn gives SMAP end product users the capability to write software that does not need to be modified to accommodate unforeseeable changes in the SMAP products. Since changes to the products are certain to take place over the life of the SMAP mission, users are encouraged to use software techniques that take advantage of some of the features in HDF5.

For example, users can write a product reader that selects only those product data elements they wish to read from an SMAP Level Product file. With the appropriate design, this software will not need to change, regardless of the number, the size, or the order of the current data

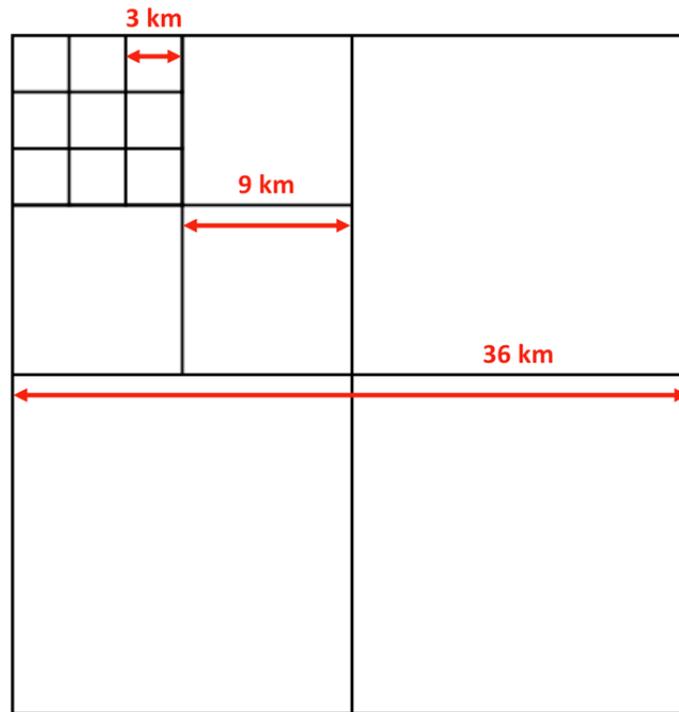
product entries. Indeed, the only changes users need to implement would take place if they should choose to read a newly defined data element after a product upgrade.

For those users who wish to extract a specific subset of the data from an SMAP Product, the HDF5 routines H5Dopen and H5Dread (h5dopen\_f and h5dread\_f in FORTRAN) are very useful. H5Dopen requires two input parameters, the first is an HDF5 file/group identifier, the second is a character string that contains the name of a Dataset. H5Dopen returns the identifier for the specified Dataset in the product file. HDF5 routine H5Dread then uses the Dataset identifier to fetch the contents. H5Dread places the contents of the Dataset in a specified output variable.

Once the data element is located and read, users can generate standardized code that reads the metadata associated with each element. Users of the SMAP Level Products should employ the same methods to read metadata and standard data elements.

### 3 EASE-Grid 2.0

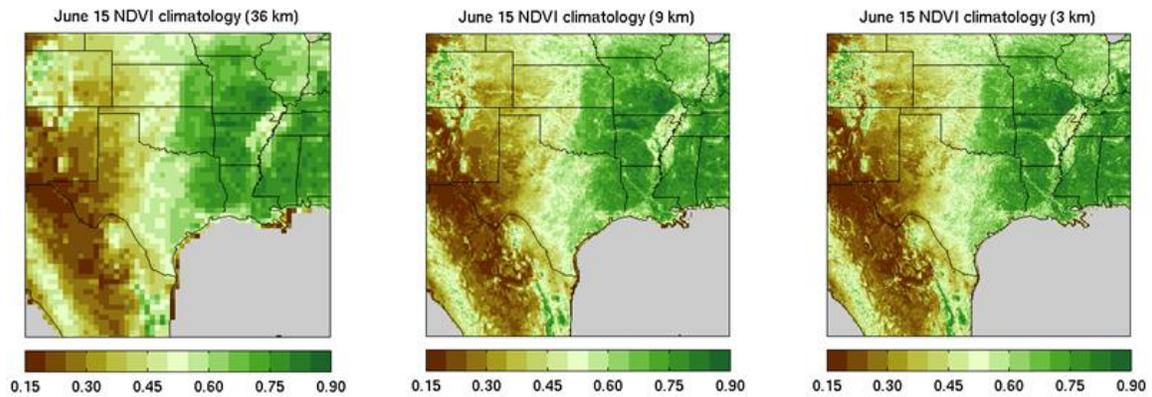
The data in the SMAP L3\_SM\_P product are presented on a 36-km global cylindrical projection. The projection is based on the NSIDC's EASE-Grid 2.0 specifications for SMAP. The EASE-Grid 2.0 has a flexible formulation. By adjusting one scaling parameter it is possible to generate a family of multi-resolution grids that “nest” within one another. The nesting can be made “perfect” in that smaller grid cells can be tessellated to form larger grid cells, as shown in Fig. 2.



**Figure 2:** Perfect nesting in EASE2 Grid – smaller grid cells can be tessellated to form larger grid cells.

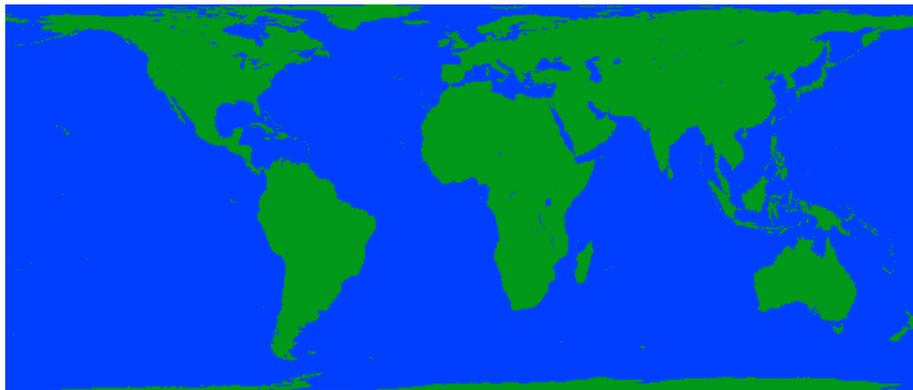
This feature of perfect nesting provides SMAP data products with a convenient common projection for both high-resolution radar observations and low-resolution radiometer observations, as well as their derived geophysical products.

A nominal EASE-Grid 2.0 dimension of 36 km has been selected for the L1C\_TB and L2/3\_SM\_P products. This spatial scale is close to the 40-km resolution of the radiometer footprint and it scales conveniently with the 3 km and 9 km grid dimensions that have been selected for the radar (L2/3\_SM\_A) and combined radar/radiometer (L2/3\_SM\_AP) soil moisture products, respectively. A comparison of EASE-Grid 2.0 at these three grid resolutions is shown in Fig. 3.



**Figure 3:** Example of ancillary NDVI climatology data displayed on the SMAP 36-km, 9-km, and 3-km grids.

The 36-km global cylindrical EASE-Grid 2.0 projection is shown in Fig. 4 below. Each grid cell has a nominal area of about  $36 \times 36 \text{ km}^2$ , regardless of longitudes and latitudes. Under this projection, all global data arrays have dimensions of 406 rows and 964 columns.



**Figure 4:** Global Cylindrical EASE-Grid 2.0 projection  
(Figure credited to NSIDC)

## 4 PRODUCT DEFINITION

### 4.1 Overview

The SMAP L3\_SM\_P product is a daily global composite of the SMAP L2\_SM\_P product, which represents gridded data of SMAP passive soil moisture retrieval, ancillary data, and quality-assessment flags on the 36-km global cylindrical Equal-Area Scalable Earth Grid 2.0 (a.k.a. EASE-Grid 2.0) designed by NSIDC for SMAP. To generate the standard L3\_SM\_P product the processing software ingests one day's worth of L2\_SM\_P granules and create global composites as two-dimensional arrays for each output parameter in the L2\_SM\_P product. Wherever data overlap occurs (typically at high latitudes), data point whose acquisition time is closest to the 6:00 am / 6:00 pm local solar time is chosen.

### 4.2 Product Names

L3\_SM\_P data product file names conform to the following convention:

**SMAP\_L3\_SM\_P**[Orbit Number]\_[First Date/Time Stamp]\_[Composite Release ID]\_[Product Counter].[extension]

**Example:** SMAP\_L3\_SM\_P\_00934\_20141225T074951\_R00400\_002.h5

<i>Orbit Number</i>	A five-digit sequential number of the orbit flown by the SMAP spacecraft when the data was acquired. Orbit 0 begins at launch.
<i>First Date/Time Stamp</i>	Date/time stamp in Universal Coordinated Time (UTC) of the first data element that appears in the product. The stamp conforms to the <i>YYYYMMDDThhmmss</i> convention.
<i>Composite Release ID</i>	An ID that incorporates changes to any processing condition that might impact product results. The Composite Release ID contains three other shorter ID's: [R][Launch Indicator][Major ID][Minor ID]. The Launch Indicator distinguishes between pre-launch or pre-instrument commissioned data. ('0' for simulated or preliminary observations whereas '1' for observations at or after the time of instrument commissioning) A two-digit Major ID indicates major releases due to changes in algorithm or processing approach. A two-digit Minor ID indicates minor releases due to changes not considered by a change in Major ID.
<i>Product Counter</i>	A three-digit counter that tracks the number of times that a particular product type for a specific half orbit has been generated.

*Extension*            '.h5' for science product data and '.qa' for QA product data.

### 4.3 Volume

The following estimates represent the combined data volume of metadata and the actual science data of the product:

Daily volume:    180.60 MBytes

Yearly volume:   63.49 GBytes

### 4.4 L3\_SM\_P Product Metadata

The metadata elements in the L3\_SM\_P product appear in two forms. One form appears in one or more Attributes within the Metadata Group. Combined, those Attributes contain a complete representation of the product metadata. The content conforms to the ISO 19115-2 models in ISO 19139 compliant XML.

The second form of the metadata appears in a set of HDF5 groups under the Metadata Group. Each of these HDF5 Groups represents one of the major classes in the ISO structure. These groups contain a set of HDF5 attributes. Each HDF5 Attribute set represents a specific ISO attribute of the associated ISO class. Although this representation inherits design from the ISO model, it does not completely conform to the model. In many cases, the names of the HDF5 Attributes match those used in the ISO model. In some situations, names were changed to provide greater clarity to SMAP users who are not familiar with the ISO model. Furthermore, to ease metadata searches, the structure of Groups within Groups was limited to four levels.

Table 8 describes the subgroups of the Metadata group, and the attributes within each group. The first column of table 8 specifies a major class in the ISO 19115 metadata model. The second column provides the name of the HDF5 Group under “/Metadata” where attributes associated with the corresponding class will appear. The third column lists the names of the subgroups and attributes where specific metadata values appear. The fourth column provides valid values for each element. Constant values appear with no diacritical marks. Variable values are encapsulated by carats <math>\langle \rangle</math>. All of the metadata elements that appear in table 8 should also appear in every L3\_SM\_P Product file.

**Table 8:** Granule Level Metadata in the L3\_SM\_P Product

Representative ISO Class	SMAP HDF5 Metadata Subgroup	SMAP HDF5 Subpath	SMAP HDF5 Attribute	Definition		
MD_AcquisitionInformation	AcquisitionInformation	platform	antennaRotationRate	<The antenna rotation rate in revolution per minute (rpm)>		
			description	The SMAP observatory houses an L-band radiometer that operates at 1.40 GHz and an L-band radar that operates at 1.26 GHz. The instruments share a rotating reflector antenna with a 6 meter aperture that scans over a 1000 km swath. The bus is a 3 axis stabilized spacecraft that provides momentum compensation for the rotating antenna.		
			identifier	SMAP		
		radar, radiometer	description	The SMAP radar instrument employs an L-band conically scanned system and SAR processing techniques to achieve moderate resolution (1 km) backscatter measurements over a very wide 1000 km swath.		
			identifier	SMAP SAR		
			type	L-band Synthetic Aperture Radar		
		platformDocument, radarDocument, radiometerDocument	edition	<The edition of publication of the reference document, if available to the general public.>		
			publicationDate	<The date of publication of the reference document, if available to the general public.>		
			title	<The title of the publication of the reference document, if available to the general public.>		
		DQ_DataQuality	DataQuality	DomainConsistency	evaluationMethodType	<The type of data quality evaluation method. "directInternal" means the method of evaluating the quality of a dataset based on inspection of items within the dataset, where all data required is internal to the dataset being evaluated.>
					measureDescription	<The description of the Domain Consistency measurement.>
nameOfMeasure	<The name of the measurements>					
unitOfMeasure	Percent					
value	<A measure between 0 and 100>					
CompletenessOmission	evaluationMethodType			<The type of data quality evaluation method. "directInternal"		

				means the method of evaluating the quality of a dataset based on inspection of items within the dataset, where all data required is internal to the dataset being evaluated.>
			measureDescription	<The description of the Completeness Omission measurement.>
			nameOfMeasure	Percent of Missing Data
			unitOfMeasure	Percent
			value	<A measure between 0 and 100>
			scope	<A list of data elements of the product, that are used for DataQuality measurement>
DS_Dataset/ MD_DataIdentification	DatasetIdentification		CompositeReleaseID	<SMAP Composite Release ID associated with this data product>
			ECSVersionID	<Identifier that specifies major version delivered to ECS (EOSDIS Core System). Value runs from 001 to 999>
			SMAPShortName	<The SMAP Mission product short name of this data product.>
			UUID	<A universally unique identifier for each data granule.>
			abstract	<A short description of this data product.>
			characterSet	utf8
			creationDate	<Date when this data product file was created>
			credit	<Identify the institutional authorship of the product generation software and the data system that automates its production.>
			fileName	<The name of this data product file.>
			language	eng
			originatorOrganizationName	Jet Propulsion Laboratory
			otherCitationDetails	<The description of the state of the product generation software for this data product file.>
			purpose	<The description of the purpose of this data product file.>
			shortName	<The ECS short name of this data product in 8 characters.>
			spatialRepresentationType	grid
	status	onGoing		
	topicCategory	geoscientificInformation		
EX_Extent	Extent		description	<The description of the spatial and temporal extents of the data product.>
			eastBoundLongitude	<The most eastern boundary of the spatial extent the data product covers (Longitude measure between -180 degrees and 180 degrees)>

			northBoundLatitude	<The most northern boundary of the spatial extent the data product covers (Latitude measure between -90 degrees and 90 degrees)>
			rangeBeginningDateTime	<Character string that indicates the date and time of the initial data element in the product>
			rangeEndingDateTime	<Character string that indicates the date and time of the final data element in the product.>
			southBoundLatitude	<The most southern boundary of the spatial extent the data product covers (Latitude measure between -90 degrees and 90 degrees)>
			westBoundLongitude	<The most western boundary of the spatial extent the data product covers (Longitude measure between -180 degrees and 180 degrees)>
MD_GridSpatialRepresentation	GridSpatialRepresentation	GridDefinitionDocument	edition	<The version of the grid definition document>
			publicationDate	<The publication date of the grid definition document>
			title	<The title of the grid definition document>
		Column, Row	dimensionSize	<The size of the dimension of the arrays in this specific projection are organized in this data product file>
			resolution	<The spatial resolution each data point represents, in kilometer>
		GridDefinition	description	<The description of the grid definition applied for the data product generation>
			identifier	<The short name identifying the grid definition of this data product>
			cellGeometry	<Indication of grid data as point or area>
			controlPointAvailability	<Indication of whether or not control points are available (0 implies not available and 1 implies available)>
			georeferencedParameters	<The parameters used for the conversion of the geographic location information to the map projection of interest>
			numberOfDimensions	<The number of dimensions of the arrays in this specific projection are organized in this data product file>
			orientationParameterAvailability	<Indication of whether or not orientation parameters are available (0 implies not available and 1 implies available)>
	transformationParameterAvailability	<The indication of whether the parameters for transformation exists or not (0 implies not available and 1 implies available)>		
LI_Lineage/LE_Source	Lineage	L2_SM_P	DOI	<A digital object identifier associated with the input product. This field appears only for the Lineage class that describes the

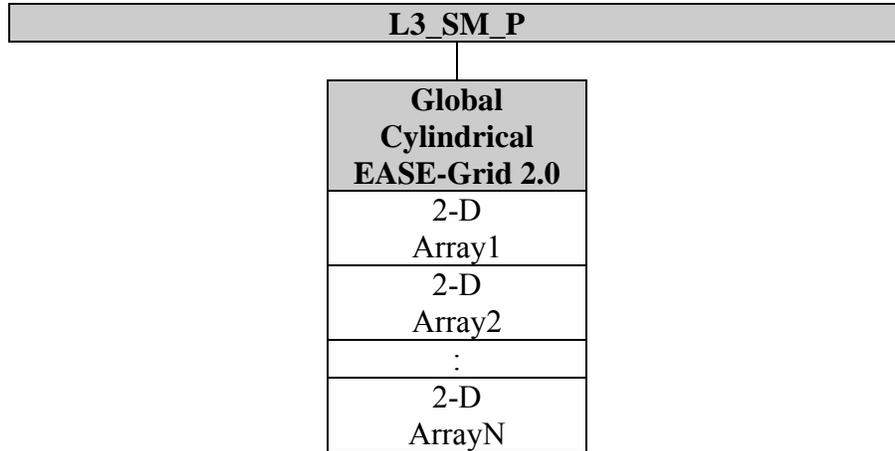
				SMAP science data product.>
			creationDate	<Date when the corresponding input product file was created>
			description	<Description of each of the input files used to generate this data product.>
			fileName	<The name of the corresponding input product file.>
			identifier	<The short name associated with the input SMAP science data product.>
			resolution	<The spatial resolution each data point represents, in kilometer>
			version	<The SMAP Composite Version ID associated with the input data product.>
		EASEGRID_LON_M, InputConfiguration, MetadataConfiguration, OutputConfiguration, RunConfiguration	creationDate	<Date when the corresponding ancillary input file was created>
			description	<Description of each ancillary input file used to generate this data product.>
			fileName	<The name of the ancillary input file.>
			version	<The version number of the ancillary input file.>
SD_OrbitMeasuredLocation	OrbitMeasuredLocation		startRevNumber	<The lowest orbit number among the input product granules>
			stopRevNumber	<The highest orbit number among the input product granules>
LI_Lineage/LE_ProcessStep	ProcessStep		ATBDDate	<Time stamp that specifies the release date of the ATBD>
			ATBDTitle	<The title of the ATBD>
			ATBDVersion	<Version identifier for the ATBD.>
			SWVersionID	<A software version identifier that runs from 001 to 999>
			algorithmDate	<Date associated with current version of the algorithm.>
			algorithmDescription	<Descriptive text about the algorithm(s) in the product generation software for this data product.>
			algorithmTitle	<The representative name of the algorithm for this data product.>
			algorithmVersionID	<Identifier that specifies the current algorithm version. Value runs from 001 to 999>
			documentDate	<Release date for the software description document.>
			documentVersion	<Version identifier for the software description document.>
			documentation	<A reference to software description document.>
			epochJulianDate	<Julian Date of the Epoch J2000, 2451545>

		epochUTCDateTime	<UTC Date Time of the Epoch J2000, 2000-01-01T11:58:55.816Z>
		identifier	<Name of the product generation software for this data product>
		parameterVersionID	<Identifier that specifies the current version of processing parameters. Value runs from 001 to 999.>
		processDescription	<Short description of the data processing concept by the product generation software.>
		processor	<Name of the product generation facility>
		softwareDate	<A date stamp that specifies when software used to generate this product was released.>
		softwareTitle	<The title of the product generation facility>
		stepDateTime	< A character string that specifies the date and the time when the product was generated.>
		timeVariableEpoch	<The Epoch of the time variable for the SMAP mission>
DS_Series/MD_DataIdentification	ProductSpecificationDocument	SMAPShortName	<The SMAP Mission product short name of this data product.>
		characterSet	utf8
		edition	<Edition identifier for the Product Specification Document>
		language	eng
		publicationDate	<Date of publication of the Product Specification Document>
		title	<The title of the product specification document>
DQ_DataQuality	QA	MissingSamples	<The number of samples missing in this data products>
		OutOfBoundsSamples	<The number of samples that are exceeding the predefined boundary>
		QAPercentOutOfBoundsData	<Percent of the samples that are exceeding the predefined boundary with respect tot the total samples in this data product>
		TotalSamples	<The number of all samples in this data product>
DS_Dataset/MD_DataIdentification	QADatasetIdentification	abstract	An ASCII product that contains statistical information on data product results. These statistics enable data producers and users to assess the quality of the data in the data product granule.
		creationDate	<The date that the QA product was generated.>
		fileName	<The name of QA product.>
DS_Series/MD_DataIdentification	SeriesIdentification	CompositeReleaseID	<SMAP Composite Release ID that identifies the release used to generate this data product>
		ECSVersionID	<Identifier that specifies major version delivered to ECS. Value runs from 001 to 999>

		abstract	<A short description of this data product series.>
		characterSet	utf8
		credit	<Identify the institutional authorship of the product generation software and the data system that automates its production.>
		format	HDF5
		formatVersion	<The version of the HDF5 library used for the product generation>
		identifier_product_DOI	<digital object identifier for the Level 1C S0 HiRes Product>
		language	eng
		longName	<The long name of this data product (up to 80 characters long)>
		maintenanceAndUpdateFrequency	As needed
		maintenanceDate	<Specifies a date when the next update to this product might be anticipated>
		mission	Soil Moisture Active Passive (SMAP)
		otherCitationDetails	<The description of the state of the product generation software for this data product file.>
		pointOfContact	<The name of the DAAC this data product is distributed from.>
		purpose	<The description of the purpose of this data product file.>
		resourceProviderOrganizationName	National Aeronautics and Space Administration
		revisionDate	<Date and time of the software release that was used to generate this data product.>
		shortName	<The ECS short name of this data product in 8 characters.>
		spatialRepresentationType	grid
		status	Ongoing
		topicCategory	geoscientificInformation

## 4.5 Data Structure

The L3\_SM\_P product is a daily global composite of the L2\_SM\_P product, which represents gridded data of SMAP passive soil moisture retrieval, ancillary data, and quality-assessment flags on the 36-km global cylindrical EASE-Grid 2.0 projection. This organization is reflected schematically in Fig. 5. All data elements appear in the HDF5 Global Projection Group.



**Figure 5:** L3\_SM\_P data organization.

Table 9 describes the output parameters of a typical L3\_SM\_P granule based on its associated descending L2\_SM\_P half-orbit granules acquired within a day. All data element arrays are two-dimensional with 406 rows and 964 columns.

**Table 9:** L3\_SM\_P output parameters

Output Parameter	Precision	Byte	Unit	Method	Section
latitude	Float32	4	Degree	2	4.6.1
longitude	Float32	4	Degree	2	4.6.2
tb_time_seconds	Float64	8	Second	1	4.6.3
tb_time_utc	Char24	24	N/A	1	4.6.4
latitude_centroid	Float32	4	Degree	1	4.6.5
longitude_centroid	Float32	4	Degree	1	4.6.6
boresight_incidence	Float32	4	Degree	1	4.6.7
tb_h_corrected	Float32	4	Kelvin	3	4.6.8
tb_v_corrected	Float32	4	Kelvin	3	4.6.9
tb_3_corrected	Float32	4	Kelvin	3	4.6.10
tb_4_corrected	Float32	4	Kelvin	3	4.6.11
tb_qual_flag_h	UInt16	2	N/A	4	4.6.12
tb_qual_flag_v	UInt16	2	N/A	4	4.6.13
tb_qual_flag_3	UInt16	2	N/A	4	4.6.14

tb_qual_flag_4	Uint16	2	N\A	4	4.6.15
soil_moisture_error	Float32	4	cm <sup>3</sup> /cm <sup>3</sup>	4 or 6	4.6.16
soil_moisture	Float32	4	cm <sup>3</sup> /cm <sup>3</sup>	4	4.6.17
vegetation_opacity	Float32	4	N\A	5	4.6.18
retrieval_qual_flag	Uint16	2	N\A	4	4.6.19
surface_flag	Uint16	2	N\A	4	4.6.20
vegetation_water_content	Float32	4	kg/m <sup>2</sup>	6	4.6.21
surface_temperature	Float32	4	Kelvin	6	4.6.22
static_water_body_fraction	Float32	4	N\A	6	4.6.23
radar_water_body_fraction	Float32	4	N\A	6 or 7	4.6.24
freeze_thaw_fraction	Float32	4	N\A	6 or 7	4.6.25
landcover_class	Uint8	1	N\A	6	4.6.26
landcover_class_fraction	Uint8	1	N\A	6	4.6.27
albedo	Float32	4	N\A	6	4.6.28
roughness_coefficient	Float32	4	N\A	6	4.6.29

Method:

1. From L1C\_TB.
2. From 36-km EASE-Grid 2.0 array definition.
3. Value corrected for the presence of water wherever water/land areal fraction is below a threshold. When the fraction is zero, no correction is performed.
4. Determined by L2\_SM\_P processing software.
5. Available only with option algorithms that use two polarization channels.
6. From external ancillary data whose location and time stamp coincide with those of the input data.
7. From L2\_SM\_A.

## 4.6 Parameter Definitions

### 4.6.1 latitude

Latitude of the center of the 36-km EASE-Grid 2.0 cell.

Precision: Float32  
 Dimensions: 406 × 964  
 Valid\_min: -90.0  
 Valid\_max: +90.0  
 Unit: Degree

#### 4.6.2 **longitude**

Longitude of the center of a 36-km EASE-Grid 2.0 cell.

Precision:	Float32
Dimensions:	406 × 964
Valid_min:	-180.0
Valid_max:	+180.0
Unit:	Degree

#### 4.6.3 **tb\_time\_seconds**

Daily composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C\_TB granule. The resulting parameter thus describes the average of UTC acquisition times of L1B\_TB observations whose boresights fall within a 36-km EASE-Grid 2.0 cell. The result is then expressed in J2000 seconds (the number of seconds since 11:58:55.816 on January 1, 2000 UT).

Precision:	Float64
Dimensions:	406 × 964
Valid_min:	0
Valid_max:	N\A
Unit:	Second

#### 4.6.4 **tb\_time\_utc**

Daily composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C\_TB granule. The resulting parameter thus describes the average of UTC acquisition times, in ASCII representation, of L1B\_TB observations whose boresights fall within a 36-km EASE-Grid 2.0 cell.

Precision:	Char24
Dimensions:	406 × 964
Valid_min:	'2014-10-31T00:00:00.000Z'
Valid_max:	N\A
Unit:	N\A

#### 4.6.5 **latitude\_centroid**

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C\_TB granule. The resulting parameter thus

describes the weighted average of latitudes of L1B\_TB observations whose boresights fall within a 36-km EASE-Grid 2.0 cell.

Precision:	Float32
Dimensions:	406 × 964
Valid_min:	-90.0
Valid_max:	+90.0
Unit:	Degree

#### 4.6.6 **longitude\_centroid**

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C\_TB granule. The resulting parameter thus describes the weighted average of longitudes of L1B\_TB observations whose boresights fall within a 36-km EASE-Grid 2.0 cell.

Precision:	Float32
Dimensions:	406 × 964
Valid_min:	-180.0
Valid_max:	+180.0
Unit:	Degree

#### 4.6.7 **boresight\_incidence**

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C\_TB granule. The resulting parameter thus describes the weighted average of incidence angles of L1B\_TB observations whose boresights fall within a 36-km EASE-Grid 2.0 cell. The incidence angle is defined as the included angle between the antenna boresight vector and the normal to the Earth's surface.

Precision:	Float32
Dimensions:	406 × 964
Valid_min:	0.0
Valid_max:	90.0
Unit:	Degree

#### 4.6.8 **tb\_corrected\_h**

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C\_TB granule. The resulting parameter thus describes the weighted average of L1B\_TB horizontally polarized brightness

temperatures whose boresights fall within a 36-km EASE-Grid 2.0 cell. Wherever water fraction is below a threshold, water brightness temperature correction is applied to this parameter prior to L2\_SM\_P inversion.

Precision:	Float32
Dimensions:	406 × 964
Valid_min:	0.0
Valid_max:	330.0
Unit:	Kelvin

#### 4.6.9 **tb\_corrected\_v**

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C\_TB granule. The resulting parameter thus describes the weighted average of L1B\_TB vertically polarized brightness temperatures whose boresights fall within a 36-km EASE-Grid 2.0 cell. Wherever water fraction is below a threshold, water brightness temperature correction is applied to this parameter prior to L2\_SM\_P inversion.

Precision:	Float32
Dimensions:	406 × 964
Valid_min:	0.0
Valid_max:	330.0
Unit:	Kelvin

#### 4.6.10 **tb\_corrected\_3**

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C\_TB granule. The resulting parameter thus describes the weighted average of L1B\_TB vertically polarized brightness temperatures whose boresights fall within a 36-km EASE-Grid 2.0 cell. Wherever water fraction is below a threshold, water brightness temperature correction is applied to this parameter prior to L2\_SM\_P inversion.

Precision:	Float32
Dimensions:	406 × 964
Valid_min:	-50.0
Valid_max:	+50.0
Unit:	Kelvin

#### 4.6.11 **tb\_corrected\_4**

Daily global composite of the arithmetic average of the same parameters found in the fore- and aft-looking groups in the input L1C\_TB granule. The resulting parameter thus describes the weighted average of L1B\_TB vertically polarized brightness temperatures whose boresights fall within a 36-km EASE-Grid 2.0 cell. Wherever water fraction is below a threshold, water brightness temperature correction is applied to this parameter prior to L2\_SM\_P inversion.

Precision: Float32  
 Dimensions: 406 × 964  
 Valid\_min: -50.0  
 Valid\_max: +50.0  
 Unit: Kelvin

#### 4.6.12 tb\_qual\_flag\_h

Daily global composite of a 16-bit or two-byte binary number formed by applying a Boolean ‘AND’ operation between the same parameters from both fore- and aft-looking groups in the input L1C\_TB granule. A ‘0’ indicates that both the fore-looking and aft-looking L1C\_TB observations satisfy a given quality criterion described in L1B\_TB’s tb\_qual\_flag\_h output parameter; a ‘1’ indicates that the same criterion is violated by either fore-looking or aft-looking (or both) L1C\_TB observations. Bit position ‘0’ refers to the least significant digit.

Precision: UInt16  
 Dimensions: 406 × 964  
 Valid\_min: 0  
 Valid\_max: 65,536  
 Unit: N/A

Bit Position	Bit Value and Interpretation
0	0 = Observation has acceptable quality
	1 = Observation does not have acceptable quality
1	0 = Observation within physical range
	1 = Observation beyond physical range
2	0 = RFI was not detected in the observation
	1 = RFI was detected in the observation
3	0 = RFI was detected and corrected in the observation
	1 = RFI was detected but not correctable in the observation
4	0 = Observation had acceptable NEDT
	1 = Observation did not have acceptable NEDT
5	0 = Direct sun correction was successful
	1 = Direct sun correction was not successful
6	0 = Reflected sun correction was successful
	1 = Reflected sun correction was not successful

7	0 = Reflected moon correction was successful
	1 = Reflected moon correction was not successful
8	0 = Direct galaxy correction was successful
	1 = Direct galaxy correction was not successful
9	0 = Reflected galaxy correction was successful
	1 = Reflected galaxy correction was not successful
10	0 = Atmosphere correction was successful
	1 = Atmosphere correction was not successful
11	0 = Faraday rotation correction was successful
	1 = Faraday rotation correction was not successful
12	0 = Observation was a valid value
	1 = Observation was a null value
13	0 = Observation was within half orbit
	1 = Observation was outside half orbit
14	0 = TA minus TA_FILTERED was less than a threshold
	1 = TA minus TA_FILTERED was greater than a threshold
15	0 = Observation was free of RFI
	1 = Observation was RFI contaminated

#### 4.6.13 **tb\_qual\_flag\_v**

Daily global composite of a 16-bit or two-byte binary number formed by applying a Boolean ‘AND’ operation between the same parameters from both fore- and aft-looking groups in the input L1C\_TB granule. A ‘0’ indicates that both the fore-looking and aft-looking L1C\_TB observations satisfy a given quality criterion described in L1B\_TB’s `tb_qual_flag_v` output parameter; a ‘1’ indicates that the same criterion is violated by either fore-looking or aft-looking (or both) L1C\_TB observations. Bit position '0' refers to the least significant digit.

Precision: Uint16  
Dimensions: 406 × 964  
Valid\_min: 0  
Valid\_max: 65,536  
Unit: N/A

Bit Position	Bit Value and Interpretation
0	0 = Observation has acceptable quality
	1 = Observation does not have acceptable quality
1	0 = Observation within physical range
	1 = Observation beyond physical range
2	0 = RFI was not detected in the observation
	1 = RFI was detected in the observation
3	0 = RFI was detected and corrected in the observation

	1 = RFI was detected but not correctable in the observation
4	0 = Observation had acceptable NEDT
	1 = Observation did not have acceptable NEDT
5	0 = Direct sun correction was successful
	1 = Direct sun correction was not successful
6	0 = Reflected sun correction was successful
	1 = Reflected sun correction was not successful
7	0 = Reflected moon correction was successful
	1 = Reflected moon correction was not successful
8	0 = Direct galaxy correction was successful
	1 = Direct galaxy correction was not successful
9	0 = Reflected galaxy correction was successful
	1 = Reflected galaxy correction was not successful
10	0 = Atmosphere correction was successful
	1 = Atmosphere correction was not successful
11	0 = Faraday rotation correction was successful
	1 = Faraday rotation correction was not successful
12	0 = Observation was a valid value
	1 = Observation was a null value
13	0 = Observation was within half orbit
	1 = Observation was outside half orbit
14	0 = TA minus TA_FILTERED was less than a threshold
	1 = TA minus TA_FILTERED was greater than a threshold
15	0 = Observation was free of RFI
	1 = Observation was RFI contaminated

#### 4.6.14 **tb\_qual\_flag\_3**

Daily global composite of a 16-bit or two-byte binary number formed by applying a Boolean ‘AND’ operation between the same parameters from both fore- and aft-looking groups in the input L1C\_TB granule. A ‘0’ indicates that both the fore-looking and aft-looking L1C\_TB observations satisfy a given quality criterion described in L1B\_TB’s `tb_qual_flag_3` output parameter; a ‘1’ indicates that the same criterion is violated by either fore-looking or aft-looking (or both) L1C\_TB observations. Bit position ‘0’ refers to the least significant digit.

Precision:            Uint16  
Dimensions:          406 × 964  
Valid\_min:            0  
Valid\_max:            65,536  
Unit:                  N/A

Bit Position	Bit Value and Interpretation
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0	0 = Observation has acceptable quality
	1 = Observation does not have acceptable quality
1	0 = Observation within physical range
	1 = Observation beyond physical range
2	0 = RFI was not detected in the observation
	1 = RFI was detected in the observation
3	0 = RFI was detected and corrected in the observation
	1 = RFI was detected but not correctable in the observation
4	0 = Observation had acceptable NEDT
	1 = Observation did not have acceptable NEDT
5	0 = Direct sun correction was successful
	1 = Direct sun correction was not successful
6	0 = Reflected sun correction was successful
	1 = Reflected sun correction was not successful
7	0 = Reflected moon correction was successful
	1 = Reflected moon correction was not successful
8	0 = Direct galaxy correction was successful
	1 = Direct galaxy correction was not successful
9	0 = Reflected galaxy correction was successful
	1 = Reflected galaxy correction was not successful
10	0 = Atmosphere correction was successful
	1 = Atmosphere correction was not successful
11	intentionally left undefined
12	0 = Observation was a valid value
	1 = Observation was a null value
13	0 = Observation was within half orbit
	1 = Observation was outside half orbit
14	0 = TA minus TA_FILTERED was less than a threshold
	1 = TA minus TA_FILTERED was greater than a threshold
15	0 = Observation was free of RFI
	1 = Observation was RFI contaminated

#### 4.6.15 **tb\_qual\_flag\_4**

Daily global composite of a 16-bit or two-byte binary number formed by applying a Boolean ‘AND’ operation between the same parameters from both fore- and aft-looking groups in the input L1C\_TB granule. A ‘0’ indicates that both the fore-looking and aft-looking L1C\_TB observations satisfy a given quality criterion described in L1B\_TB’s `tb_qual_flag_4` output parameter; a ‘1’ indicates that the same criterion is violated by either fore-looking or aft-looking (or both) L1C\_TB observations. Bit position ‘0’ refers to the least significant digit.

Precision: Uint16  
 Dimensions: 406 X 964  
 Valid\_min: 0  
 Valid\_max: 65,536  
 Unit: N/A

Bit Position	Bit Value and Interpretation
0	0 = Observation has acceptable quality
	1 = Observation does not have acceptable quality
1	0 = Observation within physical range
	1 = Observation beyond physical range
2	0 = RFI was not detected in the observation
	1 = RFI was detected in the observation
3	0 = RFI was detected and corrected in the observation
	1 = RFI was detected but not correctable in the observation
4	0 = Observation had acceptable NEDT
	1 = Observation did not have acceptable NEDT
5	0 = Direct sun correction was successful
	1 = Direct sun correction was not successful
6	0 = Reflected sun correction was successful
	1 = Reflected sun correction was not successful
7	0 = Reflected moon correction was successful
	1 = Reflected moon correction was not successful
8	0 = Direct galaxy correction was successful
	1 = Direct galaxy correction was not successful
9	0 = Reflected galaxy correction was successful
	1 = Reflected galaxy correction was not successful
10	0 = Atmosphere correction was successful
	1 = Atmosphere correction was not successful
11	intentionally left undefined
12	0 = Observation was a valid value
	1 = Observation was a null value
13	0 = Observation was within half orbit
	1 = Observation was outside half orbit
14	0 = TA minus TA_FILTERED was less than a threshold
	1 = TA minus TA_FILTERED was greater than a threshold
15	0 = Observation was free of RFI
	1 = Observation was RFI contaminated

#### 4.6.16 soil\_moisture\_error

Daily global composite of the estimated '1-sigma' error of the soil\_moisture output parameter. The valid minimum and maximum below are subject to further analysis on real data.

Precision:	Float32
Dimensions:	406 × 964
Valid_min:	0.00
Valid_max:	Soil porosity
Unit:	cm <sup>3</sup> /cm <sup>3</sup>

As of beta release this data field is filled with FillValue.

#### 4.6.17 soil\_moisture

Daily global composite of the estimated soil moisture at 36-km spatial scale, as returned by the L2\_SM\_P processing software. The valid minimum and maximum below are subject to further analysis on real data.

Precision:	Float32
Dimensions:	406 × 964
Valid_min:	0.02
Valid_max:	Soil porosity
Unit:	cm <sup>3</sup> /cm <sup>3</sup>

#### 4.6.18 vegetation\_opacity

Daily global composite of the estimated vegetation opacity at 36-km spatial scale, as returned by the L2\_SM\_P processing software when one of the option algorithms (LPRM or DCA) is used. Note that this parameter is the same 'tau' parameter normalized by the cosine of the incidence angle in the 'tau-omega' model.

$$\tau = \frac{b * VWC}{\cos \theta}$$

where  $b$  is a landcover-based parameter described in the SMAP Level 2/3 Passive Soil Moisture Product ATBD,  $VWC$  is vegetation water content in kg/m<sup>2</sup> derived from NDVI climatology, and  $\theta$  is the incidence angle (= 40 deg) for SMAP. The valid minimum and maximum below are subject to further analysis on real data.

Precision:	Float32
Dimensions:	406 × 964
Valid_min:	0.00
Valid_max:	2.00

Unit: N/A

**4.6.19 retrieval\_qual\_flag**

Daily global composite of a 16-bit integer field whose binary representation consists of bits that indicate whether retrieval is performed or not at a given grid cell. When retrieval is performed, it contains additional bits to further indicate the exit status and quality of the retrieval. The quality of retrieval is determined by the outcome of the inversion convergence test as well as the surface conditions as reported in the *surface\_flag* field in Section 4.6.20. A summary of bit definition of the *retrieval\_qual\_flag* field is listed below.

Precision: Uint16  
 Dimensions: 406 X 964  
 Valid\_min: 0  
 Valid\_max: 65,536  
 Unit: N/A

Bit	Retrieval Information	Bit Value and Interpretation
0	Recommended Quality	0: Retrieval has recommended quality
		1: Retrieval does not have recommended quality
1	Retrieval Attempted	0: Retrieval was attempted
		1: Retrieval was skipped
2	Retrieval Successful	0: Retrieval was successful
		1: Retrieval was not successful
3-15	Undefined	0 (not used in L2 SM P)

**4.6.20 surface\_flag**

Daily global composite of a 16-bit integer field whose binary representation consists of bits that indicate the presence or absence of certain surface conditions at a grid cell. In Table 10, a ‘0’ indicates the presence of a surface condition favorable to soil moisture retrieval. Each surface condition is numerically compared against two non-negative thresholds: T1 and T2, where T1 < T2. In most cases, when a surface condition is found to be below T1, retrieval is attempted and flagged for recommended quality. Between T1 and T2, retrieval is still attempted but flagged for uncertain quality. Above T2, retrieval is skipped. A summary of surface conditions and their thresholds are listed below.

Precision: Uint16  
 Dimensions: 406 X 964

Valid\_min: 0  
Valid\_max: 65,536  
Unit: N/A

**Table 10:** L3\_SM\_P surface condition bit flag definition. Bit position ‘0’ refers to the least significant bit. Final bit positions and definitions are subject to future revision and expansion as needed.

Bit	Surface Condition	T1	T2	Bit Value and Interpretation
0	Static water	0.05	0.50	0: Water areal fraction less than T2: <ul style="list-style-type: none"> <li>Less than T1: Retrieval attempted and flagged for recommended quality</li> <li>Between T1 and T2: Retrieval attempted and flagged for uncertain quality</li> </ul>
				1: Otherwise: <ul style="list-style-type: none"> <li>Above T2: Retrieval skipped</li> </ul>
1	Radar-derived Water Fraction	0.05	0.10	0: Water areal fraction less than T2: <ul style="list-style-type: none"> <li>Less than T1: Retrieval attempted and flagged for recommended quality</li> <li>Between T1 and T2: Retrieval attempted and flagged for uncertain quality</li> </ul>
				1: Otherwise: <ul style="list-style-type: none"> <li>Above T2: Retrieval skipped</li> </ul>
2	Urban Area	0.25	1.00	0: Urban areal fraction less than T2: <ul style="list-style-type: none"> <li>Less than T1: Retrieval attempted and flagged for recommended quality</li> <li>Between T1 and T2: Retrieval attempted and flagged for uncertain quality</li> </ul>
				1: Otherwise: <ul style="list-style-type: none"> <li>Above T2: Retrieval skipped</li> </ul>
3	Coastal Proximity	N/A	1.0	0: Distance to nearby significant water bodies greater than T2 (number of 36-km grid cells) <ul style="list-style-type: none"> <li>Greater than T2: Retrieval attempted and flagged for recommended quality</li> <li>Less than T2: Retrieval attempted and flagged for uncertain quality</li> </ul>
				1: Otherwise
4	Precipitation	2.78e-04 (equivalent to 1 mm/hr)	7.06e-03 (equivalent to 25.4 mm/hr)	0: Precipitation rate <sup>1</sup> (in kg/m <sup>2</sup> /s) less than T2: <ul style="list-style-type: none"> <li>Less than T1: Retrieval attempted and flagged for recommended quality</li> <li>Between T1 and T2: Retrieval attempted and flagged for uncertain quality</li> </ul>
				1: Otherwise: <ul style="list-style-type: none"> <li>Above T2: Retrieval skipped</li> </ul>
5	Snow	0.05	0.50	0: Snow areal fraction less than T2: <ul style="list-style-type: none"> <li>Less than T1: Retrieval attempted and flagged for recommended quality</li> </ul>

<sup>1</sup> To convert from kg/m<sup>2</sup>/s to mm/hr, multiply the precipitation rate field by a factor of 3600.

				<ul style="list-style-type: none"> <li>Between T1 and T2: Retrieval attempted and flagged for uncertain quality</li> </ul>
				1: Otherwise: <ul style="list-style-type: none"> <li>Above T2: Retrieval skipped</li> </ul>
6	Permanent Ice	0.05	0.50	0: Ice areal fraction less than T2: <ul style="list-style-type: none"> <li>Less than T1: Retrieval attempted and flagged for recommended quality</li> <li>Between T1 and T2: Retrieval attempted and flagged for uncertain quality</li> </ul>
				1: Otherwise: <ul style="list-style-type: none"> <li>Above T2: Retrieval skipped</li> </ul>
7	Frozen Ground (from radar-derived FT state)	0.05	0.50	0: Freeze/thaw areal fraction less than T2: <ul style="list-style-type: none"> <li>Less than T1: Retrieval attempted and flagged for recommended quality</li> <li>Between T1 and T2: Retrieval attempted and flagged for uncertain quality</li> </ul>
				1: Otherwise: <ul style="list-style-type: none"> <li>Above T2: Retrieval skipped</li> </ul>
8	Frozen Ground (from model)	0.05	0.50	0: Freeze/thaw areal fraction less than T2: <ul style="list-style-type: none"> <li>Less than T1: Retrieval attempted and flagged for recommended quality</li> <li>Between T1 and T2: Retrieval attempted and flagged for uncertain quality</li> </ul>
				1: Otherwise: <ul style="list-style-type: none"> <li>Above T2: Retrieval skipped</li> </ul>
9	Mountainous Terrain	3°	6°	0: Slope standard deviation less than T2: <ul style="list-style-type: none"> <li>Less than T1: Retrieval attempted and flagged for recommended quality</li> <li>Between T1 and T2: Retrieval attempted and flagged for uncertain quality</li> </ul>
				1: Otherwise: <ul style="list-style-type: none"> <li>Above T2: Retrieval skipped</li> </ul>
10	Dense Vegetation	5.0	30.0	0: VWC less than T2: <ul style="list-style-type: none"> <li>Less than T1 kg/m<sup>2</sup>: Retrieval attempted and flagged for recommended quality</li> <li>Between T1 and T2: Retrieval attempted and flagged for uncertain quality</li> </ul>
				1: Otherwise: <ul style="list-style-type: none"> <li>Above T2: Retrieval skipped</li> </ul>
11	Nadir Region / Undefined			0 (not used in L2 SM P)
12-15	Undefined			0

#### 4.6.21 **vegetation\_water\_content**

Daily global composite of the vegetation water content at 36-km spatial scale. This parameter is used as input ancillary data parameter to the L2\_SM\_P processing software when the baseline algorithm is used. The valid minimum and maximum below are subject to further analysis on real data.

Precision: Float32  
Dimensions: 406 × 964  
Valid\_min: 0.0  
Valid\_max: 30.0  
Unit: kg/m<sup>2</sup>

#### 4.6.22 **surface\_temperature**

Daily global composite of soil temperature (averaged over the top 5-cm soil layer) at 36-km spatial scale. This parameter is used as input ancillary data parameter to the L2\_SM\_P processing software for both baseline and option algorithms. The valid minimum and maximum below are subject to further analysis on real data.

Precision: Float32  
Dimensions: 406 × 964  
Valid\_min: 253.15  
Valid\_max: 313.15  
Unit: Kelvin

#### 4.6.23 **static\_water\_body\_fraction**

Daily global composite of the static water body areal fraction at 36-km spatial scale. The fraction is computed based on the number of water pixels and land pixels reported on a 250-meter grid. If there are NW water pixels and NL land pixels within a 36-km grid cell, this parameter refers to the fraction of  $NW / (NW + NL)$ . Note that NW is the number of water pixels regardless of their temporal span – NW captures both static water pixels and transient water pixels when the original data was acquired.

Precision: Float32  
Dimensions:  $N =$  Number of grid cells covered by the swath  
Valid\_min: 0.0  
Valid\_max: 1.0  
Unit: N/A

#### 4.6.24 **radar\_water\_body\_fraction**

Daily global composite of the radar-derived water body areal fraction at 36-km spatial scale. The fraction is computed based on the number of water pixels and land pixels reported on the 3-km global cylindrical EASE-Grid 2.0 projection in the SMAP Level 2 Active Soil Moisture Product (L2\_SM\_A). If there are NW water pixels and NL land pixels within a 36-km grid cell, this parameter refers to the fraction of  $NW / (NW + NL)$ .

Note that NW is the number of water pixels regardless of their temporal span – NW captures both static water pixels and transient water pixels.

Precision: Float32  
Dimensions:  $N$  = Number of grid cells covered by the swath  
Valid\_min: 0.0  
Valid\_max: 1.0  
Unit: N\A

#### 4.6.25 freeze\_thaw\_fraction

Daily global composite of freeze/thaw areal fraction at 36-km spatial scale. The fraction is computed based on the number of frozen land pixels and thawed land pixels reported on the 3-km global cylindrical EASE-Grid 2.0 projection in the SMAP Level 2 Active Soil Moisture Product (L2\_SM\_A). If there are NF frozen ground pixels and NT thawed land pixels within a 36-km grid cell, this parameter refers to the fraction of  $NF / (NF + NT)$ . At present the L2\_SM\_P processing software can be configured to provide this parameter from a dynamic ancillary data database or from the SMAP L2\_SM\_A product.

Precision: Float32  
Dimensions:  $406 \times 964$   
Valid\_min: 0.0  
Valid\_max: 1.0  
Unit: N\A

#### 4.6.26 landcover\_class

Daily global composite of the first three most dominant land cover classes according to the IGBP land cover map. The relative dominance is determined based on ranking among land cover classes using statistical mode.

Precision: UInt8  
Dimensions:  $N \times 3$  = Number of grid cells covered by the swath  
Valid\_min: 0  
Valid\_max: 16  
Unit: N\A

#### 4.6.27 landcover\_class\_fraction

Daily global composite of the areal fractions of the first three most dominant land cover classes according to a 500-meter MODIS IGBP land cover map. The relative dominance is determined based on ranking among all land cover classes using statistical mode. For

example, if there are N1 pixels that correspond to first class and there are NT pixels comprising all land cover classes within a 36-km grid cells, the corresponding percentage refers to (N1 / NT).

Precision:	Float32
Dimensions:	$N \times 3$ = Number of grid cells covered by the swath
Valid_min:	0
Valid_max:	1.0
Unit:	N\A

#### 4.6.28 **albedo**

Daily global composite of single-scattering albedo at 36-km spatial scale. Note that this parameter is the same ‘omega’ parameter in the ‘tau-omega’ model for a given polarization channel.

Precision:	Float32
Dimensions:	406 × 964
Valid_min:	0.0
Valid_max:	1.0
Unit:	N\A

#### 4.6.29 **roughness\_coefficient**

Daily global composite of roughness coefficient at 36-km spatial scale. Note that this parameter is the same ‘h’ coefficient in the ‘tau-omega’ model for a given polarization channel.

Precision:	Float32
Dimensions:	406 × 964
Valid_min:	0.0
Valid_max:	3.0
Unit:	N\A

## 5 REFERENCES

### 5.1 Requirements

- SMAP Level 1 Mission Requirements and Success Criteria. (Appendix O to the Earth Systematic Missions Program Plan: Program-Level Requirements on the Soil Moisture Active Passive Project.). NASA Headquarters/Earth Science Division, Washington, DC.
- SMAP Level 2 Science Requirements. SMAP Project, JPL D-45955, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Level 3 Science Algorithms and Validation Requirements. SMAP Project, JPL D-45993, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Level 3 Mission System Requirements. SMAP Project, JPL D-45962, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Level 4 Science Data System Requirements. SMAP Project, JPL D-61680, Jet Propulsion Laboratory, Pasadena, CA.

### 5.2 Plans

- SMAP Science Data Management and Archive Plan. SMAP Project, JPL D-45973, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Science Data Calibration and Validation Plan. SMAP Project, JPL D-52544, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Applications Plan. SMAP Project, JPL D-53082, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Science Data System Operation Plan. SMAP Project, JPL D-80765, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Project Implementation Plan. SMAP Project, JPL D-45939, Jet Propulsion Laboratory, Pasadena, CA.

### 5.3 Algorithm Theoretical Basis Documents

- SMAP Algorithm Theoretical Basis Document: L1B and L1C Radar Products. SMAP Project, JPL D-53052, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Algorithm Theoretical Basis Document: L1B Radiometer Product. SMAP Project, GSFC-SMAP-006, NASA Goddard Space Flight Center, Greenbelt, MD.
- SMAP Algorithm Theoretical Basis Document: L1C Radiometer Product. SMAP Project, JPL D-53053, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Algorithm Theoretical Basis Document: L2 & L3 Radar Soil Moisture (Active) Products. SMAP Project, JPL D-66479, Jet Propulsion Laboratory, Pasadena, CA.

- SMAP Algorithm Theoretical Basis Document: L2 & L3 Radiometer Soil Moisture (Passive) Products. SMAP Project, JPL D-66480, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Algorithm Theoretical Basis Document: L2 & L3 Radar/Radiometer Soil Moisture (Active/Passive) Products. SMAP Project, JPL D-66481, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Algorithm Theoretical Basis Document: L3 Radar Freeze/Thaw (Active) Product. SMAP Project, JPL D-66482, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Algorithm Theoretical Basis Document: L4 Surface and Root-Zone Soil Moisture Product. SMAP Project, JPL D-66483, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Algorithm Theoretical Basis Document: L4 Carbon Product. SMAP Project, JPL D-66484, Jet Propulsion Laboratory, Pasadena, CA.

## 5.4 Product Specification Documents

- SMAP Level 1A Radar Product Specification Document. SMAP Project, JPL D-72543, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Level 1B Radar (L1C\_S0\_LoRes) Product Specification Document. SMAP Project, JPL D-72544, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Level 1A Radiometer Product Specification Document. SMAP Project, JPL D-72554, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Level 1B Radiometer (L1B\_TB) Product Specification Document. SMAP Project, JPL D-72552, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Level 1C Radiometer (L1C\_TB) Product Specification Document. SMAP Project, JPL D-72545, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Level 2 Active Soil Moisture (L2\_SM\_A) Product Specification Document. SMAP Project, JPL D-72546, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Level 2 Passive Soil Moisture (L2\_SM\_P) Product Specification Document. SMAP Project, JPL D-72547, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Level 2 Active/Passive Soil Moisture (L2\_SM\_AP) Product Specification Document. SMAP Project, JPL D-72548, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Level 3 Freeze-Thaw (L3\_FT\_A) Product Specification Document. SMAP Project, JPL D-72549, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Level 3 Active Soil Moisture (L3\_SM\_A) Product Specification Document. SMAP Project, JPL D-72550, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Level 3 Passive Soil Moisture (L3\_SM\_P) Product Specification Document. SMAP Project, JPL D-72551, Jet Propulsion Laboratory, Pasadena, CA.

- SMAP Level 3 Active/Passive Soil Moisture (L3\_SM\_AP) Product Specification Document. SMAP Project, JPL D-72552, Jet Propulsion Laboratory, Pasadena, CA.
- SMAP Level 4 Carbon (L4\_C) Product Specification Document. SMAP Project, University of Montana, Missoula, MT.
- SMAP Level 4 Soil Moisture (L4\_SM) Product Specification Document. SMAP Project, Global Modeling and Assimilation Office, Goddard Space Flight Center, Greenbelt, MD.

## 5.5 Others

- Interface Control Document Between the Soil Moisture Active Passive (SMAP) Science Data System (SDS) and the Alaska Satellite Facility (ASF) and National Snow and Ice Data Center (NSIDC) Distributed Active Archive Centers (DAACs), Goddard Space Flight Center.
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- ISO 19115-2:2009 International Standard – Geographic Information – Part 2: Extensions for imagery and gridded data, December 12, 2009.
- ISO 19139:2007 International Standard – Geographic Information – Metadata – XML schema implementation, May 14 2009.
- Introduction to HDF5, The HDF Group. URL: <http://www.hdfgroup.org/HDF5/doc/H5.intro.html>
- HDF5: API Specification Reference Manual, The HDF Group. URL: [http://www.hdfgroup.org/HDF5/doc/RM/RM\\_H5Front.html](http://www.hdfgroup.org/HDF5/doc/RM/RM_H5Front.html)
- HDF5 User's Guide Release 1.8.9, The HDF Group. URL: <http://hdfgroup.com/HDF5/doc/UG>
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- EASE-Grid 2.0: Incremental but Significant Improvements for Earth-Gridded Data Sets, Brodzik, M.J., et. al., National Snow and Ice Data Center, Cooperative Institute of Environmental Sciences, University of Colorado, ISPRS International Journal of Geo-Information, ISSN 2220-9964, DOI: 10.3390/igji1010032.

## 6 APPENDIX A: ACRONYMS AND ABBREVIATIONS

This is the standard Soil Moisture Active Passive (SMAP) Science Data System (SDS) list of acronyms and abbreviations. Not all of these acronyms and abbreviations appear in every SMAP SDS document.

ADT	Algorithm Development Team
AMSR	Advanced Microwave Scanning Radiometer
ANSI	American National Standards Institute
APF	Algorithm Parameter File
ARS	Agricultural Research Service
ASF	Alaska Satellite Facility
ATBD	Algorithm Theoretical Basis Document
ATLO	Assembly Test Launch and Operations
BFPQ	Block Floating Point Quantization
BIC	Beam Index Crossing
CARA	Criticality and Risk Assessment
CBE	Current Best Estimate
CCB	Configuration Control Board
CCSDS	Consultative Committee on Space Data Systems
CDR	Critical Design Review
CEOS	Committee on Earth Observing Systems
CF	Climate and Forecast (metadata convention)
CM	Configuration Management
CM	Center of Mass
CONUS	Continental United States
COTS	Commercial Off the Shelf
CR	Change Request
DAAC	Distributed Active Archive Center
DB	Database
DBA	Database Administrator
dB	Decibels
deg	Degrees
deg/sec	Degrees per second
deg C	Degrees Celsius
DEM	Digital Elevation Model
DFM	Design File Memorandum
DIU	Digital Interface Unit
DN	Data Number
DOORS	Dynamic Object Oriented Requirements
DQC	Data Quality Control
DSK	Digital Skin Kernel
DVD	Digital Versatile Disc
EASE	Equal Area Scalable Earth
ECMWF	European Centre for Medium Range Weather Forecasts

ECHO	EOS Clearing House
ECI	Earth Centered Inertial Coordinate System
ECR	Earth Centered Rotating Coordinate System
ECR	Engineering Change Request
ECS	EOSDIS Core System
EDOS	EOS Data Operations System
EM	Engineering Model
EOS	Earth Observing System
EOSDIS	Earth Observing System Data and Information System
EPO	Education and Public Outreach
ESDIS	Earth Science Data and Information System Project
ESDT	Earth Science Data Type
ESSP	Earth Science System Pathfinder
ET	Ephemeris Time
EU	Engineering Units
FOV	Field of View
FRB	Functional Requirements Baseline
FS	Flight System
FSW	Flight Software
F/T	Freeze/Thaw
FTP	File Transfer Protocol
Gbyte	Gigabyte
GDS	Ground Data System
GHA	Greenwich Hour Angle
GHz	Gigahertz
GLOSIM	Global Simulation
GMAO	Government Modeling and Assimilation Office
GMT	Greenwich Mean Time
GN	Ground Network
GPMC	Governing Program Management Council
GPP	Gross Primary Production
GPS	Global Positioning System
GSE	Ground Support Equipment
GSFC	Goddard Space Flight Center
HDF	Hierarchical Data Format
HK	Housekeeping (telemetry)
Hz	Hertz
HSD	Health and Status Data
ICE	Integrated Control Electronics
ICESat	Ice, Cloud and Land Elevation Satellite
IDL	Interactive Data Language
I&T	Integration and Test
ICD	Interface Control Document
IEEE	Institute of Electrical and Electronics Engineers
IFOV	Instantaneous Field of View
I/O	Input/Output

IOC	In-Orbit Checkout
IRU	Inertial Reference Unit
ISO	International Organization for Standardization
IV&V	Independent Verification and Validation
ITAR	International Traffic in Arms Regulations
I&T	Integration and Test
JPL	Jet Propulsion Laboratory
kHz	Kilohertz
km	Kilometers
LAN	Local Area Network
LBT	Loopback Trap
LEO	Low Earth Orbit
LEOP	Launch and Early Operations
LOE	Level Of Effort
LOM	Life Of Mission
LOS	Loss of Signal
LSK	Leap Seconds Kernel
LZPF	Level Zero Processing Facility
m	Meters
MHz	Megahertz
MIT	Massachusetts Institute of Technology
MMR	Monthly Management Review
MOA	Memorandum of Agreement
MOC	Mission Operations Center
MODIS	Moderate Resolution Imaging Spectroradiometer
MOS	Mission Operations System
m/s	Meters per second
ms	Milliseconds
MS	Mission System
NAIF	Navigation and Ancillary Information Facility
NASA	National Aeronautics and Space Administration
NCEP	National Centers for Environmental Protection
NCP	North Celestial Pole
NCSA	National Center for Supercomputing Applications
NEDT	Noise Equivalent Diode Temperature
NEE	Net Ecosystem Exchange
NEN	Near Earth Network
netCDF	Network Common Data Form
NFS	Network File System/Server
NISN	NASA Integrated Services Network
NRT	Near Real Time
NOAA	National Oceanic and Atmospheric Administration
NSIDC	National Snow and Ice Data Center
NVM	Non-Volatile Memory
NWP	Numerical Weather Prediction
N/A	Not applicable

OCO	Orbiting Carbon Observatory
ORBNUM	Orbit Number File
OODT	Object Oriented Data Technology
ORR	Operational Readiness Review
ORT	Operational Readiness Test
OSSE	Observing System Simulation Experiment
OSTC	One Second Time Command
PALS	Passive and Active L-Band System
PALSAR	Phased Array L-Band Synthetic Aperture Radar
PcK	Planetary Constants Kernel
PDR	Preliminary Design Review
PPPCS	Pointing, Position, Phasing and Coordinate System
PR	Problem Report
PRF	Pulse Repetition Frequency
PRI	Pulse Repetition Interval
PROM	Programmable Read Only Memory
PSD	Product Specification Document
QA	Quality Assurance
rad	Radians
RAM	Random Access Memory
RBA	Reflector Boom Assembly
RBD	Rate Buffered Data
RBE	Radiometer Back End
RDD	Release Description Document
RDE	Radiometer Digital Electronics
RF	Radio Frequency
RFA	Request For Action
RFE	Radiometer Front End
RFI	Radio Frequency Interference
RMS	Root mean square
RSS	Root sum square
ROM	Read Only Memory
RPM	revolutions per minute
RVI	Radar Vegetation Index
SA	System Administrator
SAR	Synthetic Aperture Radar
S/C	Spacecraft
SCE	Spin Control Electronics
SCLK	Spacecraft Clock
SDP	Software Development Plan
SDS	Science Data System
SDT	Science Definition Team
SI	International System
SITP	System Integration and Test Plan
SMAP	Soil Moisture Active Passive
SMEX	Soil Moisture Experiment

SMOS	Soil Moisture and Ocean Salinity Mission
SMP	Software Management Plan
SNR	Signal to noise ratio
SOC	Soil Organic Carbon
SOM	Software Operators Manual
SQA	Software Quality Assurance
SPDM	Science Process and Data Management
SPG	Standards Process Group
SPK	Spacecraft Kernel
SQA	Software Quality Assurance
SPS	Science Production Software
SRF	Science Orbit Reference Frame
SRR	System Requirements Review
SRTM	Shuttle Radar Topography Mission
SSM/I	Special Sensor Microwave/Imager
STP	Software Test Plan
sec	Seconds
TAI	International Atomic Time
TB	Brightness Temperature
TBC	To Be Confirmed
TBD	To Be Determined
TBR	To Be Resolved
TCP/IP	Transmission Control Protocol/Internet Protocol
TEC	Total Electron Content
TM	Trademark
TOA	Time of Arrival
TPS	Third Party Software
UML	Unified Modeling Language
U-MT	University of Montana
USDA	United States Department of Agriculture
UTC	Coordinated Universal Time
V&V	Verification and Validation
VWC	Vegetation Water Content