



# SMAP L3 Radiometer Global and Northern Hemisphere Daily 36 km EASE-Grid Freeze/Thaw State, Version 3

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## USER GUIDE

### How to Cite These Data

As a condition of using these data, you must include a citation:

Xu, X., R. S. Dunbar, C. Derksen, A. Colliander, Y. Kim, and J. S. Kimball. 2020. *SMAP L3 Radiometer Global and Northern Hemisphere Daily 36 km EASE-Grid Freeze/Thaw State, Version 3*. [Indicate subset used]. Boulder, Colorado USA. NASA National Snow and Ice Data Center Distributed Active Archive Center. <https://doi.org/10.5067/ZJOKL452HRLD>. [Date Accessed].

FOR QUESTIONS ABOUT THESE DATA, CONTACT [NSIDC@NSIDC.ORG](mailto:NSIDC@NSIDC.ORG)

FOR CURRENT INFORMATION, VISIT <https://nsidc.org/data/SPL3FTP>



National Snow and Ice Data Center

# TABLE OF CONTENTS

1	DATA DESCRIPTION .....	3
1.1	Parameters .....	3
1.2	File Information.....	3
1.2.1	Format.....	3
1.2.2	File Contents.....	3
1.2.3	Data Fields.....	4
1.2.4	Metadata Fields .....	5
1.2.5	File Naming Convention.....	5
1.3	Spatial Information.....	6
1.3.1	Coverage .....	6
1.3.2	Resolution.....	6
1.3.3	Geolocation.....	6
1.4	Temporal Information .....	8
1.4.1	Coverage .....	8
1.4.2	Satellite and Processing Events .....	8
1.4.3	Latencies.....	8
1.4.4	Resolution.....	8
2	DATA ACQUISITION AND PROCESSING.....	8
2.1	Background .....	8
2.2	Instrumentation.....	9
2.3	Acquisition .....	9
2.4	Derivation Techniques and Algorithms.....	9
2.4.1	Baseline NPR Algorithm .....	10
2.4.2	SCV Algorithm .....	11
2.4.3	Ancillary Data.....	12
2.5	Processing.....	13
2.6	Quality, Errors, and Limitations .....	15
2.6.1	Error Sources.....	15
2.6.2	Quality Assessment .....	16
2.6.3	Quality Overview.....	16
3	SOFTWARE AND TOOLS .....	17
4	VERSION HISTORY .....	17
5	RELATED DATA SETS .....	18
6	RELATED WEBSITES .....	18
7	CONTACTS AND ACKNOWLEDGMENTS .....	18
8	REFERENCES .....	19
9	DOCUMENT INFORMATION.....	19
9.1	Publication Date .....	19
9.2	Date Last Updated.....	19

APPENDIX – DATA FIELDS .....	20
Data Field Definitions .....	23
Fill/Gap Values .....	29
Acronyms and Abbreviations .....	31

# 1 DATA DESCRIPTION

## 1.1 Parameters

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Freeze/thaw (F/T) state and the direction of diurnal freeze/thaw transitions (frozen in the morning to thawed in the afternoon and vice versa) derived from brightness temperatures are output on two 36 km Earth-fixed, Equal-Area Scalable Earth Grids, Version 2.0 (EASE-Grid 2.0): a global cylindrical and a Northern Hemisphere azimuthal. Freeze/thaw state, the occurrence of freeze/thaw transitions, and the direction of transitions are expressed in boolean values (0 or 1). For freeze/thaw state, 0 indicates thawed conditions and 1 indicates frozen. For freeze/thaw transition state, 0 indicates the a.m. and p.m. FT states are not in transition and 1 indicates they are in transition. The transition direction flag is only meaningful if there is a transition (transition state = 1), and is set to 0 for a.m. frozen/p.m. thawed and 1 for a.m. thawed/p.m. frozen. Transition direction flag is set to 0 if not in transition state.

Also included are brightness temperatures (TBs; given in K) for a 36 km EASE-Grid 2.0 cell.

Refer to the Appendix of this document for details on all parameters.

## 1.2 File Information

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### 1.2.1 Format

Data are in HDF5 format. For software and more information, including an HDF5 tutorial, visit the HDF Group's [HDF5](#) website.

### 1.2.2 File Contents

As shown in Figure 1, each HDF5 file is organized into the following main groups, which contain additional groups and/or data sets:

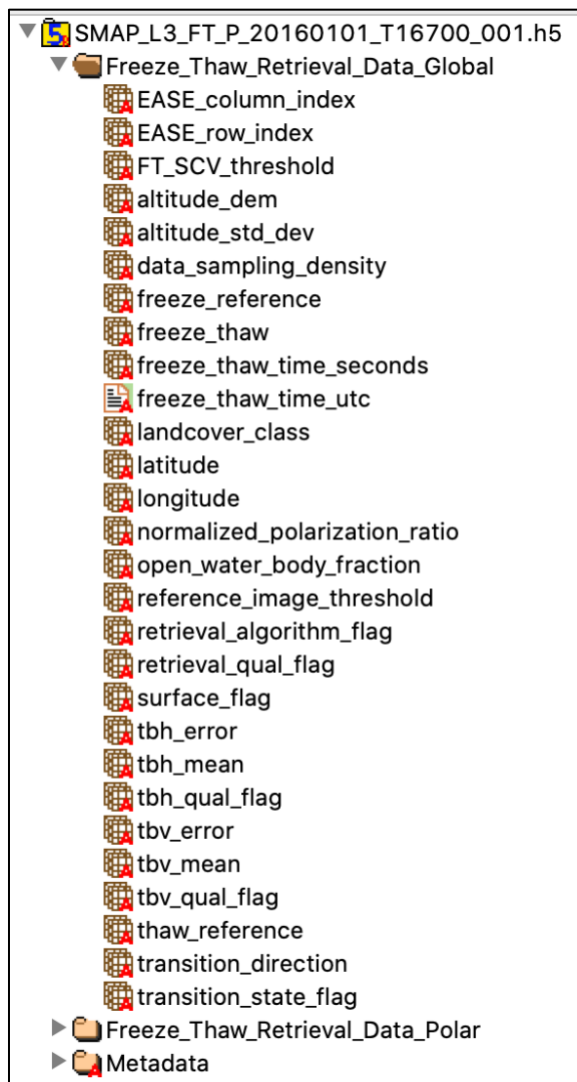


Figure 1. Subset of File Contents. For a complete list of file contents for the SMAP Level-3 radiometer freeze/thaw product, refer to the Appendix.

### 1.2.3 Data Fields

Each file contains the main data groups summarized in this section. For a complete list and description of all data fields within these groups, refer to the Appendix of this document.

Data element arrays are three dimensional, with the exception of *transition\_direction* and *transition\_state\_flag* arrays, which are two dimensional. Arrays in the Polar group have dimensions of 500 rows and 500 columns in each a.m. and p.m. layer; the Global group array dimensions are 406 rows x 964 columns. For the a.m./p.m. index of the array, the a.m. layer is assigned to the index value 0 and the p.m. layer is assigned to index value 1.

#### *Freeze/Thaw Retrieval Data Global*

Includes freeze/thaw data, latitude and longitude arrays, and associated quality assessment flags. Also includes all ancillary data, such as landcover classification and open water body fraction, and all radiometer data and associated quality assessment flags. Data are provided in the 36 km Global EASE-Grid 2.0 projection.

#### *Freeze/Thaw Retrieval Data Polar*

Contains the same data fields as the global projection group, but data are provided in the 36 km Northern Hemisphere azimuthal EASE-Grid 2.0 projection.

### 1.2.4 Metadata Fields

Includes all metadata that describe the full content of each file. For a description of all metadata fields for this product, refer to the Product Specification Document (Dunbar, 2018).

### 1.2.5 File Naming Convention

Files are named according to the following convention:

SMAP\_L3\_FT\_P\_yyyymmdd\_RLVvvv\_NNN.[ext]

For example:

SMAP\_L3\_FT\_P\_20170117\_R14010\_001.h5

Table 1 describes the variables within a file name:

Table 1. File Naming Convention

Variable	Description								
SMAP	Indicates SMAP mission data								
L3_FT_P	Indicates specific product (L3: Level-3; FT: Freeze/Thaw; P: Passive)								
yyyymmdd	4-digit year, 2-digit month, 2-digit day of the first data element that appears in the product.								
RLVvvv	<p>Composite Release ID, where:</p> <table border="1"> <tr> <td>R</td> <td>Release</td> </tr> <tr> <td>L</td> <td>Launch Indicator (1: post-launch standard data)</td> </tr> <tr> <td>V</td> <td>1-Digit CRID Major Version Number (Note: the data set's major version does not necessarily coincide with the CRID major version)</td> </tr> <tr> <td>vvv</td> <td>3-Digit CRID Minor Version Number</td> </tr> </table> <p>Example: R13242 indicates a post-launch data product with a version of 3.242. Refer to the <a href="#">SMAP Data Versions</a> page for version information.</p>	R	Release	L	Launch Indicator (1: post-launch standard data)	V	1-Digit CRID Major Version Number (Note: the data set's major version does not necessarily coincide with the CRID major version)	vvv	3-Digit CRID Minor Version Number
R	Release								
L	Launch Indicator (1: post-launch standard data)								
V	1-Digit CRID Major Version Number (Note: the data set's major version does not necessarily coincide with the CRID major version)								
vvv	3-Digit CRID Minor Version Number								
NNN	Number of times the file was generated under the same version for a particular date/time interval (002: 2nd time)								
.[ext]	<p>File extensions include:</p> <table border="1"> <tr> <td>.h5</td> <td>HDF5 data file</td> </tr> <tr> <td>.qa</td> <td>Quality Assurance file</td> </tr> <tr> <td>.xml</td> <td>XML Metadata file</td> </tr> </table>	.h5	HDF5 data file	.qa	Quality Assurance file	.xml	XML Metadata file		
.h5	HDF5 data file								
.qa	Quality Assurance file								
.xml	XML Metadata file								

## 1.3 Spatial Information

### 1.3.1 Coverage

Coverage for the Northern Hemisphere EASE-Grid 2.0 projection extends to all land regions north of 45°N latitude, and from 180°W to 180°E. For the global EASE-Grid 2.0 projection, coverage spans from 180°W to 180°E, and from approximately 85.044°N and 85.044°S.

### 1.3.2 Resolution

36 km

### 1.3.3 Geolocation

These data are provided on the global cylindrical and Northern Hemisphere azimuthal EASE-Grid 2.0 projections. Each grid cell has a nominal area of approximately 36 x 36 km<sup>2</sup> regardless of longitude and latitude. The following tables provide information for geolocating this data set. For more on EASE-Grid 2.0, refer to the [EASE Grids](#) website.

Table 2. Geolocation details for the EASE-Grid 2.0 projections used in this product

	<b>Global</b>	<b>Northern Hemisphere</b>
<b>Geographic coordinate system</b>	WGS 84	WGS 84
<b>Projected coordinate system</b>	EASE-Grid 2.0 Global	EASE-Grid 2.0 North Azimuthal
<b>Longitude of true origin</b>	0	0
<b>Standard Parallel</b>	30° N	90° N
<b>Scale factor at longitude of true origin</b>	N/A	N/A
<b>Datum</b>	WGS 84	WGS 84
<b>Ellipsoid / spheroid</b>	WGS 84	WGS 84
<b>Units</b>	meter	meter
<b>False easting</b>	0	0
<b>False northing</b>	0	0
<b>EPSG code</b>	6933	6931
<b>PROJ4 string</b>	+proj=cea +lon_0=0 +lat_ts=30 +x_0=0 +y_0=0 +ellps=WGS84 +towgs84=0,0,0,0,0,0,0 +units=m +no_defs	+proj=laea +lat_0=90 +lon_0=0 +x_0=0 +y_0=0 +ellps=WGS84 +towgs84=0,0,0,0,0,0,0 +units=m +no_defs
<b>Reference</b>	<a href="http://epsg.io/6933">http://epsg.io/6933</a>	<a href="http://epsg.io/6931">http://epsg.io/6931</a>

Table 3. Grid details for the EASE-Grid 2.0 projections used in this product

	<b>Global</b>	<b>Northern Hemisphere</b>
<b>Grid cell size (x, y pixel dimensions)</b>	36,032.22 m (x) 36,032.22 m (y)	36,000 m (x) 36,000 m (y)
<b>Number of columns</b>	964	500
<b>Number of rows</b>	406	500
<b>Geolocated lower left point in grid</b>	85.044° S, 180.000° W	84.634050° S, 45.000000° W
<b>Nominal gridded resolution</b>	36 km by 36 km	36 km by 36 km
<b>Grid rotation</b>	N/A	N/A
<b>ulxmap – x-axis map coordinate of the outer edge of the upper-left pixel</b>	-17367530.45	-9000000.0
<b>ulymap – y-axis map coordinate of the outer edge of the upper-left pixel</b>	7314540.83	9000000.0



## 1.4 Temporal Information

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### 1.4.1 Coverage

Coverage spans from 31 March 2015 to present.

### 1.4.2 Satellite and Processing Events

Due to instrument maneuvers, data downlink anomalies, data quality screening, and other factors, small gaps in the SMAP time series will occur. Details of these events are maintained on two master lists:

[SMAP On-Orbit Events List for Instrument Data Users](#)

[Master List of Bad and Missing Data](#)

A significant gap in coverage occurred between 19 June and 23 July 2019 after the SMAP satellite went into Safe Mode. A brief description of the event and its impact on data quality is available in the [SMAP Post-Recovery Notice](#).

### 1.4.3 Latencies

FAQ: [What are the latencies for SMAP radiometer data sets?](#)

### 1.4.4 Resolution

Each Level-3 file is a daily composite of half-orbit files/swaths. To ensure complete coverage of the freeze/thaw domain in each daily file, a.m. and p.m. data for the current day are combined with a.m. and p.m. data from previous days. A maximum of three days of past data is used, and is necessary only near the southern margin of the freeze/thaw domain.

## 2 DATA ACQUISITION AND PROCESSING

### 2.1 Background

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The SPL3FTP product is derived using a temporal change detection approach that has been previously developed and successfully applied using time series satellite remote sensing radar backscatter and radiometric brightness temperature data from a variety of sensors and spectral wavelengths. The baseline approach is to identify the landscape F/T state via the temporal response of the normalized polarization ratio (FT-NPR) of the brightness temperature, which is sensitive to changes in the dielectric constant of the landscape that occur as the water within the components transitions between frozen and non-frozen conditions.

This approach assumes that the large changes in dielectric constant occurring between frozen and non-frozen conditions dominates the corresponding NPR temporal dynamics across the seasons, rather than other potential sources of temporal variability such as changes in canopy structure and biomass, large precipitation events, or changes in soil moisture.

However, in lower-latitude areas where the seasonal difference of the NPR is too small to be effectively used to discriminate F/T state, the extended algorithm using the single-channel V-polarization (V-pol) brightness temperature has been introduced (FT-SCV). The V-pol TB is compared to a threshold value to retrieve the F/T state. At very low latitudes where no F/T transitions occur, no algorithm is applied. Figure 2 illustrates both freeze/thaw algorithm domains in the two projections provided.

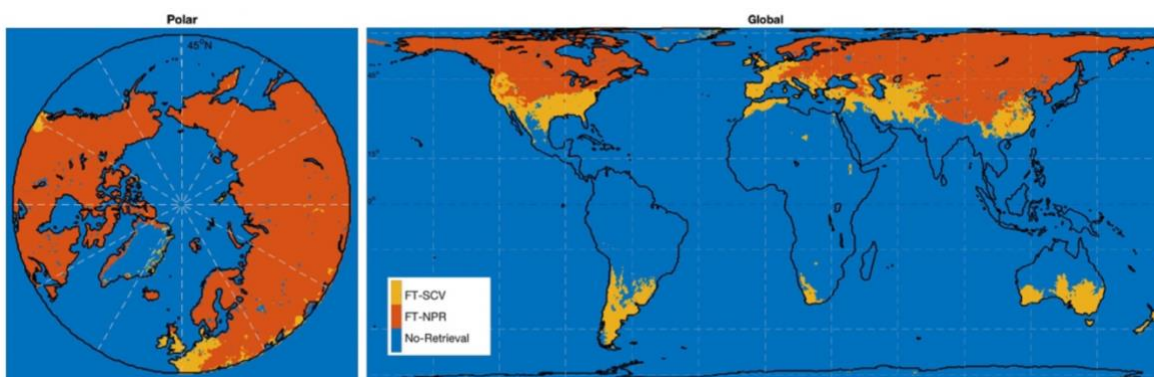


Figure 2. Freeze/thaw algorithm domain in polar grid (left) and global grid (right)

## 2.2 Instrumentation

For a detailed description of the SMAP instrument, visit the [SMAP Instrument](#) page at Jet Propulsion Laboratory (JPL) SMAP website.

## 2.3 Acquisition

SMAP Level-3 radiometer freeze/thaw data (SPL3FTP) are derived from [SMAP L1C Radiometer Half-Orbit 36 km EASE-Grid Brightness Temperatures, Version 5 \(SPL1CTB\)](#).

## 2.4 Derivation Techniques and Algorithms

This section has been adapted from the Algorithm Theoretical Basis Document (ATBD) for this data set (Dunbar et al., 2020).

The derivation of freeze/thaw from SMAP brightness temperature measurements occurs during an intermediate Level-2 processing step of the input Level-1 brightness temperature data. During the

intermediate Level-2 processing step, the freeze/thaw algorithm utilizes both a seasonal threshold and V-pol brightness temperature threshold approach to convert SMAP brightness temperature measurements to freeze/thaw state. For an overview of the steps involved in processing this data product, refer to Figure 3 in Section 2.5 below.

## 2.4.1 Baseline NPR Algorithm

The SPL3FTP freeze/thaw baseline algorithm examines the time series progression of the brightness temperature signature relative to signatures acquired during seasonal reference frozen and thawed states. The algorithm uses the normalized polarization ratio (NPR) of SMAP radiometer measurements defined by:

$$NPR = \frac{TBV - TBH}{TBV + TBH} \quad (\text{Equation 1})$$

A seasonal scale factor  $\Delta(t)$  is defined for an observation acquired at time  $t$  as:

$$\Delta(t) = \frac{NPR(t) - NPR(fr)}{NPR(th) - NPR(fr)} \quad (\text{Equation 2})$$

where  $NPR(t)$  is the normalized polarization ratio calculated at time  $t$ , for which a freeze/thaw classification is sought, and  $NPR(fr)$  and  $NPR(th)$  are normalized polarization ratios corresponding to the frozen and thawed reference states, respectively. The freezing/thaw reference is calculated for each year and averaged over the entire SMAP period.

A threshold level  $T$  is then defined such that:

$$\begin{aligned} \text{If } \Delta(t) > T &\text{ then } Thaw \\ \text{If } \Delta(t) \leq T &\text{ then } Freeze \end{aligned} \quad (\text{Equation 3})$$

defines the thawed and frozen landscape states, respectively. This series of equations (1-3) are run on a grid cell-by-cell basis for unmasked portions of the F/T domain. The output from Equation 3 is a dimensionless binary state variable designating either frozen or thawed conditions for each unmasked grid cell. The threshold values can be optimized on a grid-cell-by-grid-cell basis. Optimization approaches will be evaluated in advance of future product releases.

There are two limitations for applying the NPR baseline algorithm. First, the algorithm relies on the proper references generally defined from winter frozen and summer non-frozen conditions. This requirement limits the southern boundary of freezing reference. Secondly, the reference difference needs to be large enough to perform the algorithm ( $NPR > 0.1$ ), which excludes the dry areas when there are smaller changes in the soil dielectric constant. The extended algorithm FT-SCV (Freeze/Thaw algorithm using Single Channel TBV) is introduced to fill in the gaps where the baseline algorithm (NPR) is not valid in the global F/T domain. It relies on the sensitivity of

vertical/horizontal (V) polarized brightness temperatures to freeze/thaw related shifts in land surface dielectric properties, which tend to dominate the seasonal TB signature in areas with a significant frozen season. The threshold in the FT-SCV algorithm does not depend on the freeze and thaw reference derived from winter and summer periods. Instead, it exploits the pixel-wise linear relationship between TBV and ancillary surface air temperatures to define the TBV freeze/thaw transition point for each grid cell, which makes it suitable as an extension to the baseline NPR algorithm.

## 2.4.2 SCV Algorithm

The single-channel V-pol (SCV) freeze/thaw algorithm has been introduced to address the areas at lower latitudes where the NPR seasonal F/T reference difference is too small to adequately discriminate the F/T state. This algorithm, originally developed for use with AMSR-E data and applied here using SMAP data, assigns a V-pol brightness temperature threshold (T), and provides a value of the correlation between the brightness temperature and physical surface temperature to each pixel. Depending on the sign of the SCV correlation ( $R_{scv}$ ; retrieved SCV), the F/T state is assigned as follows:

$$\begin{aligned}
 R_{scv} > 0 & \rightarrow \begin{cases} \text{If } T_{bv} > T \text{ then Thaw} \\ \text{If } T_{bh} \leq T \text{ then Freeze} \end{cases} \\
 R_{scv} < 0 & \rightarrow \begin{cases} \text{If } T_{bv} < T \text{ then Thaw} \\ \text{If } T_{bh} \geq T \text{ then Freeze} \end{cases}
 \end{aligned}
 \tag{Equation 4}$$

The SCV retrievals are flagged in the *retrieval\_quality\_flag* when the absolute value  $R_{scv} \leq 0.5$ . The *retrieval\_algorithm\_flag* element indicates whether the NPR algorithm (value=1) or the SCV algorithm (value=2) were applied in the pixel. A value of 0 for the *retrieval\_algorithm\_flag* indicates that no retrieval was performed in the pixel.

### *False Flag Mitigation*

Following the pixel-wise determination of freeze/thaw state, two additional processing steps are applied to mitigate summer season false freeze and winter season false thaw retrievals. First, if the brightness temperature magnitude at either V- or H-pol is greater than 273 K, the pixel is set to thaw regardless of the retrieval. Second, a temporally fixed 'never frozen/never thawed' mask calculated from monthly moving averaged AMSR-E freeze/thaw maps is applied to remove obviously false summer freeze flags. False freeze retrievals occur in some regions of the F/T domain because of small differences between the reference freeze and thaw values.

Implementation of AMSR-E-derived 'never frozen' and 'never thawed' climatology masks in Version 2 significantly reduced the occurrence of false flags in the F/T retrievals. In Version 3, the masks have been improved by: (1) extending the temporal extent of the AMSR-E source data to

include data through 2019, and (2) blending in a GEOS-FP temperature climatology for 2015-2020 using a loose  $\pm 10$  °C criterion for fully-frozen or fully-thawed conditions.

### 2.4.3 Ancillary Data

Ancillary data sets are used to:

1. Support initialization of the thresholds employed in the algorithm
2. Set flags that indicate potential problem regions
3. Define masks where no retrievals should be performed

Ancillary data used in SPL3FTP processing includes data sets of inland open water and urban areas in order to derive masks so that no retrievals occur over these regions. Ancillary data sets of mountainous areas, fractional open water cover, and precipitation are used to derive flags so that a confidence interval can be associated with the retrieval. All ancillary data sets are resampled to a spatial scale and geographic projection that matches the SPL3FTP product in accordance with the guidelines of the SMAP mission.

A continuous surface map of fractional area of open water was used to represent fractional water coverage within a grid consistent with the resolution and projection of the SPL3FTP product. For the SPL3FTP development, the lake fraction threshold within a grid cell was set to 50%.

Determination of a physically-based lake fraction will be finalized for a forthcoming SPL3FTP release. Table 4 lists the ancillary data employed in support of SPL3FTP production.

Table 4. Input Ancillary Data for SPL3FTP

Data Type	Data Source(s)	Frequency	Resolution	Extent	Use
Vegetation Type	Moderate Resolution Imaging Spectroradiometer International Geosphere Biosphere Programme (MODIS-IGBP)	Once	250 m	Global	Sensitivity Analysis
Precipitation	Global Modeling and Assimilation Office (GMAO) Analyses	Time of Acquisition	0.25°	Global	Sensitivity Analysis
Static Water Bodies	MODIS Land-Water Mask (MODIS44W)	Once	250 m	Global	Mask/Flag
Mountainous Areas	NASA Global Digital Elevation Model (DEM)	Once	30 m	Global	Mask/Flag
Permanent Ice and Snow	MODIS-IGBP Permanent Ice and Snow Class	Once	500 m	Global	Mask/Flag
Seasonal Snow	National Oceanic and Atmospheric Administration/National Ice Center Interactive Multisensor Snow and Ice Mapping System (NOAA IMS)	Daily	1 km	Global	Flag
Never-Thawed / Never-Frozen Masks	Advanced Microwave Scanning Radiometer - Earth Observing System (AMSR-E) and GEOS-FP surface temperature	Annual	25 km	Global	False Flag Mitigation

For more information, refer to the ATBD for this product (Dunbar et al. 2020).

## 2.5 Processing

This product is generated by the SMAP Science Data Processing System (SDS) at JPL in Pasadena, California USA. Figure 3 shows the processing sequence for generation of the SMAP L3 freeze/thaw radiometer product (SPL3FTP).

The derivation of freeze/thaw from SMAP brightness temperature measurements occurs during an intermediate Level-2 processing step of the input Level-1C brightness temperature data. During the Level-2 processing step, the freeze/thaw algorithm utilizes a seasonal threshold approach to convert SMAP brightness temperature measurements to freeze/thaw state.

To generate this product, the processing software:

1. Ingests one day's worth of Level-1C files and creates individual global and Northern Hemisphere composites as two- or three-dimensional arrays for each output parameter defined in the Level-1C data
2. Intermediate Level-2 processing step:  
 Converts SMAP brightness temperature measurements to freeze/thaw state. Classifies frozen and thawed landscape states on a grid cell-by-cell basis for unmasked portions of the FT domain by:
  - A. Utilizing the NPR of SMAP radiometer measurements—or for areas of low latitudes, utilizing the SCV of SMAP radiometer measurements—during seasonal reference frozen and thawed states
  - B. Where utilizing NPR, applies a fixed threshold of 0.5 to determine either frozen or thawed conditions relative to the reference states. For SCV algorithm domain, a threshold is derived based on GEOS-FP surface temperature
  - C. Employing ancillary data sets to set flags for potential problem regions, and define masks where no retrievals should be performed
  - D. Mitigating summer season false freeze and winter season false thaw retrievals by:
    - i. Designating pixels as 'thaw' when TB magnitude at V or H pol is greater than 273 K
    - ii. Applying a fixed climatology derived 'never frozen/never thawed' mask
3. The processing software then combines a.m. and p.m. data for the current day with a.m. and p.m. data from previous days to ensure complete coverage of the freeze/thaw domain in each daily file. Note that a maximum of three days of past data is used, and is necessary only near the southern margin of the freeze/thaw domain. Wherever data overlap occurs, as is typical at high latitudes, data which were acquired closest to 6:00 a.m. and 6:00 p.m. local solar times are chosen.

For details regarding each of these processing steps, refer to Section 2.4 above.

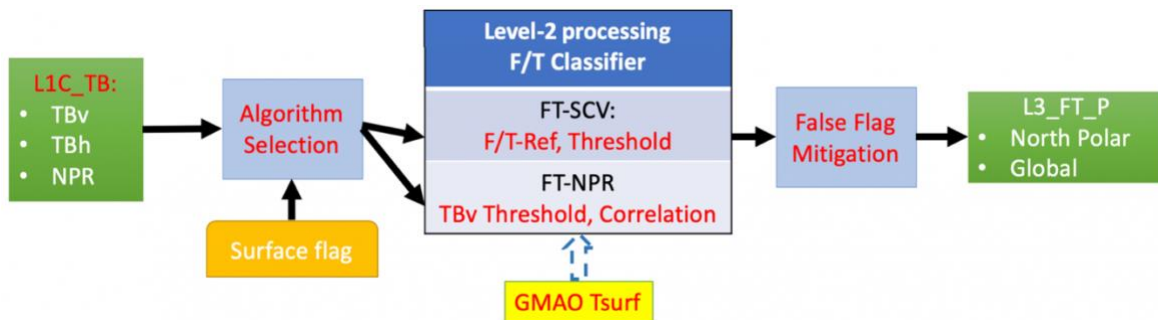


Figure 3. Processing Sequence for the L3 Freeze/Thaw Radiometer Product (SPL3FTP)

As a result, the output Level-3 radiometer freeze/thaw product distinguishes four levels of freeze/thaw conditions determined from the ascending 6:00 a.m. and descending 6:00 p.m.

[SPL1CTB](#) data, including:

- Frozen (from both a.m. and p.m. overpass times)
- Non-frozen (a.m. and p.m.)
- Transitional (a.m. frozen; p.m. non-frozen)
- Inverse-transitional (a.m. non-frozen; p.m. frozen)

For more information on the algorithm processing flow, refer to Section 2.2 of the ATBD for this product (Dunbar et al. 2020).

## 2.6 Quality, Errors, and Limitations

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### 2.6.1 Error Sources

Anthropogenic Radio Frequency Interference (RFI), principally from ground-based surveillance radars, can contaminate both radar and radiometer measurements at L-band. The SMAP radar and radiometer electronics and algorithms include design features to mitigate the effects of RFI. The SMAP radiometer utilizes selective filters and an adjustable carrier frequency to tune to predetermined RFI-free portions of the spectrum while on orbit.

The landscape freeze/thaw state retrieval represented by the SPL3FTP algorithm and products characterizes the predominant frozen or non-frozen state of the land surface within the sensor Field of View (FOV) and does not distinguish freeze/thaw characteristics among different landscape elements, including surface snow, soil, open water, or vegetation. The lower frequency L-band retrievals from SMAP are expected to have greater sensitivity to surface soil freeze/thaw conditions under low to moderate vegetation cover. Microwave freeze/thaw sensitivity is strongly constrained by intervening vegetation biomass, soil moisture levels, and snow wetness. Ambiguity in relating changes in the radiometer signal to these specific landscape components is a challenge to validation of the freeze/thaw product. In northern boreal and tundra landscapes, L-band penetration depth is greater under frozen conditions when land surface liquid water levels are low, and markedly reduced under thawed conditions due to characteristically moist surface organic layer and soil active layer conditions, even under relatively low tundra vegetation biomass levels.

Note that spatial classification error is expected to be larger in regions with small differences between frozen and thawed NPR references, particularly at lower latitudes. This includes areas where freeze/thaw is ephemeral and densely vegetated areas due to vegetation scattering effects on microwave emissivity. Small TB V- and H-polarization differences and lower NPR dynamic range increase the uncertainty in the retrievals using the NPR algorithm. In regions of complex terrain, freeze/thaw heterogeneity is greater which also adversely impacts retrieval performance. In arid regions, the small amount of water present in the thawed state makes the soil permittivity close to the frozen state, which can cause false freeze retrieval errors. These are largely mitigated through additional screening.

To address spatial classification errors at lower latitudes, the SCV algorithm assigns a V-pol brightness temperature (TB<sub>v</sub>) threshold and applies it on a pixel-by-pixel basis to determine freeze/thaw state using a computed value of the correlation between the TB<sub>v</sub> and physical surface temperature at each pixel. Additional mitigation steps for this version include brightness



temperature screening and the use of a 'never frozen' mask based on AMSR-E climatology and GEOS-FP surface temperature.

Finally, a major assumption of the NPR seasonal threshold-based temporal change freeze/thaw classification is that the major temporal shifts in brightness temperature are caused by land surface dielectric changes from temporal freeze/thaw transitions. This assumption generally holds for higher latitudes and elevations where seasonal frozen temperatures are a significant part of the annual cycle and a large constraint to land surface water mobility and ecosystem processes. However, freeze/thaw classification accuracy is expected to be reduced where other environmental factors may cause large temporal shifts in brightness temperature, including large rainfall events and surface inundation, and changes in vegetation biomass (e.g. phenology, disturbance and land cover change). Winter season false thaw in areas of complex terrain are due to uncertainty in the references due to sub-grid heterogeneity. While there is a strong NPR response to freeze/thaw transitions, NPR is not stable during summer due to the influence of vegetation, soil moisture, etc. Depolarization of summer season measurements leads to false freeze retrievals that must be mitigated.

For an assessment of algorithm performance and sources of uncertainty using in situ observations and other satellite data sets, refer to the Assessment Report for this product (Xu et al., 2020).

## 2.6.2 Quality Assessment

For in-depth details regarding the quality of these data, refer to the Assessment Report.

## 2.6.3 Quality Overview

The SPL3FTP product has sufficient fidelity and accuracy to identify the primary seasonal freeze and thaw transitions, and distinguish diurnal freeze/thaw state changes common during seasonal transitions.

SMAP products provide multiple means to assess quality. Each product contains bit flags, uncertainty measures, and file-level metadata that provide quality information. For information regarding the specific bit flags, uncertainty measures, and file-level metadata contained in this product, refer to the Product Specification Document (Dunbar 2018) and the Appendix of this document.

Each HDF5 file contains metadata with Quality Assessment (QA) metadata flags that are set by the SDS at the JPL prior to delivery to the National Snow and Ice Data Center Distributed Active Archive Center (NSIDC DAAC). A separate metadata file with an .xml file extension is also delivered to NSIDC DAAC with the HDF5 file; it contains the same information as the HDF5 file-level metadata.

A separate QA file with a .qa file extension is also associated with each data file. QA files are ASCII text files that contain statistical information in order to help users better assess the quality of the associated data file. If a product does not fail QA, it is ready to be used for higher-level processing, browse generation, active science QA, archive, and distribution. If a product fails QA, it is never delivered to NSIDC DAAC.

### 3 SOFTWARE AND TOOLS

For tools that work with SMAP data, refer to the [Tools](#) web page.

### 4 VERSION HISTORY

Table 5. Version History

Version	Release Date	Description of Changes
V1	December 2016	First public data release
V2	June 2018	<p>Changes to this version include:</p> <ul style="list-style-type: none"> <li>• Implementation of a supplementary single-channel V-pol (SCV) algorithm for areas of lower latitudes where the seasonal difference of the NPR algorithm is too small to be effectively used to discriminate freeze/thaw state; results are captured in the <i>retrieval_quality_flag</i>. This change provides stronger flag agreement between Tair and Tsoil, and for ascending/p.m. versus descending/a.m. overpasses due to physics (e.g. the NPR algorithm response to wet snow over frozen soil in spring). It also addresses an artifact of the validation approach (e.g. soils remain thawed for weeks after freeze onset in fall due to insulation from snow).</li> <li>• With the addition of the new SCV algorithm to the NPR baseline algorithm, spatial coverage of freeze/thaw data was extended to global. Data are output on a fixed global 36 km EASE-Grid 2.0 and are provided in the <i>Freeze_Thaw_Retrieval_Data_Global</i> group.</li> <li>• Updated <i>retrieval_quality_flag</i> for water contamination/permanent ice.</li> <li>• Implementation of false flag mitigation using TB screening and AMSR-E weekly climatology maps, resulting in significantly fewer false flags.</li> </ul>

Version	Release Date	Description of Changes
V3	August 2020	<p>Changes to this version include:</p> <ul style="list-style-type: none"> <li>• Adjusted the freeze/thaw reference states for the normalized polarization ratio (NPR) algorithm per the improved Level-1 brightness temperature recalibration. The freezing reference averages from 2016-2020 and the thaw reference averages from 2015-2019.</li> <li>• Adjusted the reference states for the Single-Channel V (SCV) algorithm per the update of the GEOS-FP surface and soil temperature profiles.</li> <li>• Improved the never frozen/never thawed masks for false-flag mitigation by: <ul style="list-style-type: none"> <li>○ extending the temporal extent of the AMSR-E source data to include data through 2019</li> <li>○ blending in a GEOS-FP temperature climatology for 2015-2020 using a loose <math>\pm 10</math> °C criterion for fully-frozen or fully-thawed conditions.</li> </ul> </li> <li>• The product data structure, content, and processor code are otherwise unchanged from the previous version.</li> </ul>

## 5 RELATED DATA SETS

[SMAP Data at NSIDC | Overview](#)

[SMAP Radar Data at the ASF DAAC](#)

## 6 RELATED WEBSITES

[SMAP at NASA JPL](#)

## 7 CONTACTS AND ACKNOWLEDGMENTS

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## 8 REFERENCES

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Dunbar, R. S. 2018. SMAP Level 3 Freeze-Thaw Passive Product Specification Document, Release 2. SMAP Project, JPL D-56293. Jet Propulsion Laboratory, Pasadena, CA. (see or [PDF](#))

Xu, X., R. S. Dunbar, A. Colliander, Y. Kim, J. Kimball, and C. Derksen. 2020. Soil Moisture Active Passive (SMAP) Project Calibration and Validation for the L3\_FT\_P and L3\_FT\_P\_E Data Products (Version 3). SMAP Project, JPL D-56296. Jet Propulsion Laboratory, Pasadena, CA. (see or [PDF](#))

## 9 DOCUMENT INFORMATION

### 9.1 Publication Date

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September 2020

### 9.2 Date Last Updated

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11 May 2021

## APPENDIX – DATA FIELDS

This appendix provides a description of all data fields within the *SMAP L3 Radiometer Global and Northern Hemisphere Daily 36 km EASE-Grid Freeze/Thaw State (SPL3FTP)* product. The data are grouped into two main HDF5 groups depending on the projection (global or polar):

- Freeze\_Thaw\_Retrival\_Data\_[Global | Polar]
- Metadata

For a description of metadata fields for this product, refer to the Product Specification Document (Dunbar, 2020). Table A - 1 describes the data fields of a typical SPL3FTP global cylindrical or north polar granule. Data element arrays are three dimensional, with the exception of *transition\_direction* and *transition\_state\_flag* arrays, which are two dimensional with a size "N," (where N is the number of valid cells from the radiometer swath that appear on the grid).

Table A - 1. Data Fields for *Freeze\_Thaw\_Retrieval\_Data\_Global* and *Freeze\_Thaw\_Retrieval\_Data\_Polar*

Data Field Name	Shape	Concept	Byte	Signed	Unit	Min	Max	Fill/Gap Value
EASE_column_index	AMPM_LatCell_LonCell_Array	integer	uint16	2	N/A	0	65535	65534
EASE_row_index	AMPM_LatCell_LonCell_Array	integer	uint16	2	N/A	0	65535	65534
FT_SCV_threshold	AMPM_LatCell_LonCell_Array	string	float32	4	N/A	0	330	-9999.0
altitude_dem	AMPM_LatCell_LonCell_Array	real	float32	4	m	0.0	999999.9	-9999.0
altitude_std_dev	AMPM_LatCell_LonCell_Array	real	float32	4	m	0.0	1000.0	-9999.0
data_sampling_density	AMPM_LatCell_LonCell_Array	real	float32	4	km	0.0	2.0	-9999.0
freeze_reference	AMPM_LatCell_LonCell_Array	real	float32	4	dB	-5	5	-9999.0
freeze_thaw	AMPM_LatCell_LonCell_Array	boolean	uint8	1	N/A	0	1	254
freeze_thaw_time_seconds	AMPM_LatCell_LonCell_Array	real	float64	8	seconds	-999999.9	999999.9	-9999.0
freeze_thaw_time_utc	AMPM_LatCell_LonCell_Array	string	char	13	N/A	00:00:00.000Z	00:00:00.000Z	N/A
freeze_thaw_uncertainty	AMPM_LatCell_LonCell_Array	real	float32	4	N/A	-999999.9	999999.9	-9999.0
landcover_class	AMPM_LatCell_LonCell_Array	enum	uint8	1	n/a	0	16	254
latitude	AMPM_LatCell_LonCell_Array	real	float32	4	degrees	-90	90	N/A
longitude	AMPM_LatCell_LonCell_Array	real	float32	4	degrees	-180	180	N/A
normalized_polarization_ratio	AMPM_LatCell_LonCell_Array	string	float32	4	N/A	-5.0	5.0	-9999.0
open_water_body_fraction	AMPM_LatCell_LonCell_Array	real	float32	4	normalized	0.0	1.0	-9999.0
reference_image_threshold	AMPM_LatCell_LonCell_Array	real	float32	4	normalized	-999999.9	999999.9	-9999.0
retrieval_qual_flag	AMPM_LatCell_LonCell_Array	bit flag	uint32	4	N/A	N/A	N/A	65534
retrieval_algorithm_flag	AMPM_LatCell_LonCell_Array	bit flag	uint32	4	N/A	N/A	N/A	65534
surface_flag	AMPM_LatCell_LonCell_Array	bit flag	uint32	4	N/A	N/A	N/A	65534
tbh_error	AMPM_LatCell_LonCell_Array	real	Uint8	2	normalized	0	1	-9999.0
tbh_mean	AMPM_LatCell_LonCell_Array	real	float32	4	Kelvin	-999999.9	999999.9	-9999.0
tbh_qual_flag	AMPM_LatCell_LonCell_Array	bit flag	Uint16	2	N/A	N/A	N/A	65534
tbv_error	AMPM_LatCell_LonCell_Array	real	float32	4	Kelvin	-999999.9	999999.9	-9999.0
tbv_mean	AMPM_LatCell_LonCell_Array	real	float32	4	Kelvin	-999999.9	999999.9	-9999.0

<b>Data Field Name</b>	<b>Shape</b>	<b>Concept</b>	<b>Byte</b>	<b>Signed</b>	<b>Unit</b>	<b>Min</b>	<b>Max</b>	<b>Fill/Gap Value</b>
tbv_qual_flag	<i>AMPM_LatCell_LonCell_Array</i>	bit flag	uint32	4	N/A	N/A	N/A	N/A
thaw_reference	<i>AMPM_LatCell_LonCell_Array</i>	real	float32	4	dB	-5	5	-9999.0
transition_direction	<i>LatCell_LonCell_Array</i>	boolean	uint8	1	N/A	0	2	254
transition_state_flag	<i>LatCell_LonCell_Array</i>	boolean	uint8	1	N/A	1	2	254

## Data Field Definitions

### **altitude\_dem**

The Earth surface elevation within the grid cell. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

### **altitude\_std\_dev**

The standard deviation of the Earth surface elevation within the grid cell. This element provides a surface roughness measure. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

### **FT\_SCV\_threshold**

Threshold for the SCV algorithm based on reference V-pol TB to differentiate between freeze and thaw conditions. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

### **landcover\_class**

An enumerated type that specifies the predominant surface vegetation found in the grid cell. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array. See Table A - 2 for a description of landcover classes.

### **open\_water\_body\_fraction**

Fraction of the area of the grid cell surface covered by open water. Open water areas do not have vegetation at or on the water surface. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

### **EASE\_column\_index**

The column index of the 36 km EASE-Grid

2.0 cell that contains the associated data.

The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

### **EASE\_row\_index**

The row index of the 36 km EASE-Grid 2.0 cell that contains the associated data. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

Table A - 2. Landcover Classification Values

Value	Description
0	Water
1	Evergreen needleleaf forest
2	Evergreen broadleaf forest
3	Deciduous needleleaf forest
4	Deciduous broadleaf forest
5	Mixed forest
6	Closed shrubland
7	Open shrubland
8	Woody savanna
9	Savanna
10	Grassland
11	Permanent wetland
12	Croplands
13	Urban and built-up
14	Cropland/natural vegetation mosaic
15	Permanent snow and ice
16	Barren or sparsely vegetated
>16	TBD

### **data\_sampling\_density**

Total number of radiometer data samples in the grid cell. The AM (dimension AMPM:0)



and PM (AMPM:1) observations are stored separately in the array.

#### **freeze\_reference**

Reference normalized polarization ratio value used as a basis to indicate frozen conditions. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

#### **freeze\_thaw**

Boolean that indicates whether soil within cell is frozen or thawed. A value of zero value implies thawed conditions, a value of 1 implies frozen. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

#### **freeze\_thaw\_time\_seconds**

Time of the freeze-thaw determination for this particular element in seconds from the standard epoch. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

#### **freeze\_thaw\_time\_utc**

Time of the freeze-thaw determination for this particular element in UTC. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

#### **freeze\_thaw\_uncertainty**

Uncertainty measure for the recorded freeze-thaw result. Method to determine uncertainty is TBD. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

#### **latitude**

Latitude of the center of the Earth based grid cell. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

#### **longitude**

Longitude of the center of the Earth based grid cell. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

#### **normalized\_polarization\_ratio**

Normalized Tb polarization ratio at the Earth based grid cell, defined as  $(TBH - TBV)/(TBH + TBV)$ . The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

#### **reference\_image\_threshold**

Threshold based on reference freeze and thaw to differentiate between freeze and thaw conditions. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

#### **retrieval\_qual\_flag**

Sequence of bit flags that indicate the conditions and the quality of the freeze-thaw retrieval. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array. See Table A - 3 for more information.

#### **surface\_flag**

Bit flags that record ambient surface conditions for the grid cell. See Table A - 4 for more information.

Table A - 3. Retrieval Quality Bit Flag Definitions

Name	Bit Position	Interpretation of Values (0:off, 1:on)
FT retrieval attempted	0	0: Retrieval was attempted
		1: Retrieval not attempted due to excess water fraction in cell (nominally > 50%)
FT high water caution flag	1	0: Water body fraction lower than threshold (20%)
		1: Water body fraction between 20-50%
FT permanent ice retrieval caution flag	2	0: Freeze-thaw retrieval not over permanent ice
		1: Freeze-thaw retrieval was attempted over permanent ice landcover
FT SCV low correlation caution flag	3	0: FT SCV retrieval was attempted where the absolute value of SCV correlation is > 0.5
		1: FT SCV retrieval was attempted where the absolute value of SCV correlation is ≤ 0.5
AMSR-E/TB false flag mitigation caution flag	4	0: No AMSR-E or TB mitigation was used
		1: AMSR-E or TB mitigation was used to correct retrieved FT state
Reserved	5-15	0: Always clear

Table A - 4. Surface Condition Quality Bit Flag Definitions

Bit Position	Bit Definition	Bit Value and Interpretation
0	Static Water Body Flag	0: The fraction of the 36 km grid cell area that is over a permanent water body is less than metadata element <i>PermanentWaterBodyThreshold</i> .
		1: The fraction of the 36 km grid cell area that is over a permanent water body is greater than or equal to metadata element <i>PermanentWaterBodyThreshold</i> .
4	Radar Water Body Detection Flag	0: Transient water body not detected within 36 km cell
		1: Transient water body detected within 36 km cell
2	Coastal Proximity Flag	0: Cell is more than 1 grid cell from coastline
		1: Cell is within one grid cell of coastline
3	Urban Area Flag	0: The fraction of the 36 km grid cell area that is over urban development is less than metadata element <i>UrbanAreaThreshold</i> .
		1: The fraction of the 36 km grid cell area that is over urban development is greater than or equal to metadata element <i>UrbanAreaThreshold</i> .

Bit Position	Bit Definition	Bit Value and Interpretation
4	Precipitation Flag	0: No significant precipitation detected within the 36 km grid cell when data were being acquired.
		1: Precipitation greater than threshold was detected within the 36 km grid cell.
5	Snow/Ice Flag (dynamic)	0: Snow or ice cover less than threshold was detected within the 36 km grid cell.
		1: Snow and/or ice greater than threshold were detected within the 36 km grid cell.
6	Permanent Snow/Ice Flag	0: Cell landcover (from IGBP) is not dominantly permanent snow or ice
		1: Cell landcover (from IGBP) is dominantly permanent snow or ice
7	Frozen Ground Flag (from SMAP radiometer FT algorithm)	0: No frozen ground detected within the 36 km grid cell.
		1: Frozen ground detected within the 36 km grid cell.
8	<del>Frozen Ground Flag (from GMAO TSURF)</del>	<del>0: No frozen ground detected within the 36 km grid cell. TSURF &gt; 0C.</del>
		<del>1: Frozen ground detected within the 36 km grid cell. TSURF &lt; 0C.</del>
9	Mountainous Terrain Flag	0: The variability of land elevation in the 36 km grid cell is less than metadata element <i>MountainousTerrainThreshold</i> .
		1: The variability of land elevation in the 36 km grid cell is greater than or equal to metadata element <i>MountainousTerrainThreshold</i> .
10	Dense Vegetation Flag	<del>0: The vegetation density within the 36 km grid cell is less than metadata element <i>DenseVegetationThreshold</i>.</del>
		<del>1: The vegetation density within the 36 km grid cell area is greater than or equal to metadata element <i>DenseVegetationThreshold</i>.</del>
11	Nadir Swath Flag	<del>0: Data within the the grid cell were not acquired in the nadir region of the swath where sigma0s may not meet the 36 km resolution requirement.</del>
		<del>1: A significant fraction (TBD) of the 36 km grid cell data were acquired within the nadir region of the swath where sigma0s may not meet the 36 km resolution requirement.</del>
12-15		Always clear
<p><b>Note:</b> Bits shown with strikethrough in this table (1-3, 8, 10, 11) are not used in the SPL3FTP product, and are always set to 0.</p>		

**thaw\_reference**

Reference normalized polarization ratio value used as a basis to indicate thawed conditions. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

**transition\_direction**

Boolean that indicates transitional direction. 2 indicates AM frozen, PM thawed, 1 indicates AM thawed, PM frozen. Value is always zero if not in transition state.

**transition\_state\_flag**

Boolean that indicates whether soil is in transitional state from AM to PM on the same day. 1 indicates state is not in transition (does not change from AM to PM), 2 indicates state is in transition (AM and PM states are different).

**tbh\_error**

Overall error measure for H-pol brightness temperature within the grid cell, includes calibration, RFI and contamination effects. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

**tbh\_mean**

Mean of H-pol brightness temperature in the 36 km Earth grid cell. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

**tbh\_qual\_flag**

Bit flags that represent the quality of the horizontal polarization brightness temperature within each grid cell. See Table A - 5 for more information.

**tbv\_error**

Overall error measure for V-pol brightness temperature within the grid cell, includes calibration, RFI and contamination effects. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

**tbv\_mean**

Mean of V-pol brightness temperature in the 36 km Earth grid cell. The AM (dimension AMPM:0) and PM (AMPM:1) observations are stored separately in the array.

**tbv\_qual\_flag**

Bit flags that represent the quality of the vertical/horizontal polarization brightness temperature within each grid cell. See Table A5 for more information.

Table A - 5. TB Quality Bit Definitions

Flag Definition	Bit Position	Bit Value and Interpretation
Mean vertical/horizontal polarization quality flag	0	0: The mean of the forward looking and aft looking vertical/horizontal polarization Tb has acceptable quality.
		1: The mean of the forward looking and aft looking vertical/horizontal polarization Tb does not have acceptable quality.
Mean vertical/horizontal polarization range flag	1	0: The mean of the forward looking and aft looking vertical/horizontal polarization Tb falls within the expected range.
		1: The mean of the forward looking and aft looking vertical/horizontal polarization Tb is out of range.
Mean vertical/horizontal polarization RFI detected flag	2	0: Insignificant RFI was detected in the mean of the forward looking and aft looking vertical/horizontal polarization Tb.
		1: RFI was detected in the mean of the forward looking and aft looking vertical/horizontal polarization Tb.
Mean vertical/horizontal polarization RFI repair flag	3	0: Some components of the mean of the forward looking and aft looking vertical/horizontal polarization Tb are based on corrections for RFI contamination.
		1: Unable to correct the mean of the forward looking and aft looking vertical/horizontal polarization Tb for RFI contamination.
Mean vertical/horizontal polarization NEDT flag	4	0: The mean vertical/horizontal polarization Tb had acceptable NEDT.
		1: NEDT is unsuitably high for the mean vertical/horizontal polarization Tb.
vertical/horizontal polarization direct sun correction flag	5	0: Direct sun correction was successful.
		1: Direct sun correction was not successful.
vertical/horizontal polarization reflected sun correction flag	6	0: Reflected sun correction was successful.
		1: Reflected sun correction was not successful.
vertical/horizontal polarization reflected moon correction flag	7	0: Reflected moon correction was successful.
		1: Reflected moon correction was not successful.
vertical/horizontal polarization direct galaxy correction flag	8	0: Direct galaxy correction was successful.
		1: Direct galaxy correction was not successful.

vertical/horizontal polarization reflected galaxy correction flag	9	0: Reflected galaxy correction was successful.
		1: Reflected galaxy correction was not successful.
vertical/horizontal polarization atmospheric correction flag	10	0: Atmospheric correction was successful.
		1: Atmospheric correction was not successful.
vertical/horizontal polarization Faraday rotation correction flag	11	0: Faraday rotation correction was successful.
		1: Faraday rotation correction was not successful.
vertical/horizontal polarization null value bit	12	0: Tb has a valid value.
		1: Tb has a null value.
vertical/horizontal polarization water correction	13	0: Water correction was not performed.
		1: Water correction was performed.
vertical/horizontal polarization RFI check	14	0: TA minus TA_FILTERED was less than a threshold
		1: TA minus TA_FILTERED was greater than a threshold
vertical/horizontal polarization RFI clean	15	0: TB was free of RFI
		1: TB was RFI contaminated

## Fill/Gap Values

SMAP data products employ fill and gap values to indicate when no valid data appear in a particular data element. Fill values ensure that data elements retain the correct shape. Gap values locate portions of a data stream that do not appear in the output data file.

Fill values appear in the SMAP SPL3FTP Product when the SPL3FTP SPS can process some, but not all, of the input data for a particular swath grid cell. Fill data may appear in the product in any of the following circumstances:

- One of SPS executables that generate the SMAP SPL3FTP product is unable to calculate a particular science or engineering data value. The algorithm encounters an error. The error disables generation of valid output. The SPS reports a fill value instead.
- Some of the required science or engineering algorithmic input are missing. Data over the region that contributes to particular grid cell may appear in only some of the input data streams. Since data are valuable, the SPL3FTP product records any outcome that can be calculated with the available input. Missing data appear as fill values.
- Non-essential information is missing from the input data stream. The lack of non-essential information does not impair the algorithm from generating needed output. The missing data appear as fill values.
- Fill values appear in the input radiometer the SPL1CTB product. If only some of the input that contributes to a particular grid cell is fill data, the SPL3FTP SPS will most likely be

able to generate some output. However, some portion of the SPL3FTP output for that grid cell may appear as fill values.

SMAP data products employ a specific set of data values to connote that an element is fill. The selected values that represent fill are dependent on the data type.

No valid value in the SPL3FTP product is equal to the values that represent fill. If any exceptions should exist in the future, the SPL3FTP content will provide a means for users to discern between elements that contain fill and elements that contain genuine data values. This document will also contain a description of the method used to ascertain which elements are fill and which elements are genuine.

The SPL3FTP product records gaps in the product level metadata. The following conditions will indicate that no gaps appear in the data product:

- Only one instance of the attributes *Extent/rangeBeginningDateTime* and *Extent/rangeEndingDateTime* will appear in the product metadata.
- The character string stored in metadata element *Extent/rangeBeginningDateTime* will match the character string stored in metadata element *OrbitMeasuredLocation/halfOrbitStartDateTime*.
- The character string stored in metadata element *Extent/rangeEndingDateTime* will match the character string stored in metadata element *OrbitMeasuredLocation/halfOrbitStopDateTime*.

One of two conditions will indicate that gaps appear in the data product:

- The time period covered between *Extent/rangeBeginningDateTime* and *Extent/rangeEndingDateTime* does not cover the entire half orbit as specified in *OrbitMeasuredLocation/halfOrbitStartDateTime* and *OrbitMeasuredLocation/halfOrbitStopDateTime*.
- More than one pair of *Extent/rangeBeginningDateTime* and *Extent/rangeEndingDateTime* appears in the data product. Time periods within the time span of the half orbit that do not fall within the sets of *Extent/rangeBeginningDateTime* and *Extent/rangeEndingDateTime* constitute data gaps.

## Acronyms and Abbreviations

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Table A - 6. Acronyms and Abbreviations

<b>Abbreviation</b>	<b>Definition</b>
Char	8-bit character
Int8	8-bit (1-byte) signed integer
Int16	16-bit (2-byte) signed integer
Int32	32-bit (4-byte) signed integer
Float32	32-bit (4-byte) floating-point integer
Float64	64-bit (8-byte) floating-point integer
H-pol	Horizontally polarized
N/A	Not Applicable
RFI	Radio Frequency Interference
SPS	Science Production Software
TB	Brightness Temperature
UInt8	8-bit (1-byte) unsigned integer
UInt16	16-bit (2-byte) unsigned integer
V-pol	Vertically polarized